INITIAL
End Date: 6/18/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/21/2021
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $; or $19,000,000
  b. Minimum percentage of customer segregated funds required:%; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%; 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $; or $1,000,000
  b. Minimum percentage of customer secured funds required:%; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%; 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $; or $0
  b. Minimum percentage of cleared swaps customer collateral required:%; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%; 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   - A. Cash: 29,023,986 [7315]  
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -688,468 [7325]

3. Exchange traded options  
   - a. Market value of open option contracts purchased on a foreign board of trade: 1,935 [7335]  
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -7,242 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 28,330,211 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 7,306 [7351]  
   - Less: amount offset by customer owned securities: 0 [7352] 7,306 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 28,337,517 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 28,337,517 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks  
   - A. Banks located in the United States: 8,418,391 [7500]  
   - B. Other banks qualified under Regulation 30.7: 8,285,996 [7520] 16,704,387 [7530]

2. Securities  
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   - A. Cash: 5,556,109 [7580]  
   - B. Securities: 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615] 5,556,109 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   - A. Cash: 10,863,403 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675] 10,863,403 [7680]

5. Amounts held by members of foreign boards of trade  
   - A. Cash: 876,377 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 1,935 [7730]  

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]  
7. Segregated funds on hand: 0 [7765]  
8. Total funds in separate section 30.7 accounts: 33,994,969 [7770]  

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]  
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,657,452 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 1,140,350,574 [7010]
   - **Securities (at market)**: 129,649,646 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Cash**: -242,650,946 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 3,715,254,960 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -3,058,312,339 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Cash**: 1,684,291,895 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: -524,755 [7047] 106,025 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Cash**: 1,684,397,920 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 375,760,137 [7070]
   - **Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 514,669 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 145,662,702 [7100]
   - **Securities representing investments of customers’ funds (at market)**: 424,978,084 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 124,277,634 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Cash**: -16,580,896 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 3,715,254,960 [7132]
    - **Value of open short option contracts**: -3,058,312,339 [7133]

11. **Net equities with other FCMs**
    - **Cash**: 0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**
    - **Cash**: 4,857,343 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **Cash**: 1,716,412,294 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Cash**: 32,014,374 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **Cash**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Cash**: 13,014,374 [7198]

**Excess**
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management