Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required:% ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between:% and%.

19,000,000

0

0

0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required:% ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between:% and%.

1,000,000

0

0

0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required:% ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between:% and%.

0

0

0

0

Attach supporting documents
**Initial**  
End Date: 6/23/2021  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 6/24/2021

## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 29,691,342 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -384,563 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 2,400 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -3,500 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 29,305,679 [7355]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,392 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 7,392 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 29,313,071 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 29,313,071 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 7,575,061 [7500]
   - B. Other banks qualified under Regulation 30.7: 8,312,647 [7520] 15,887,708 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,215,725 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,215,725 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 11,294,180 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 11,294,180 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 896,955 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 2,400 [7730]
   - E. Value of short option contracts: -3,500 [7735] 895,855 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 34,293,468 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,980,397 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,980,397 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash:** 1,115,702,704 [7010]
   - **B. Securities (at market):** 129,844,445 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-166,141,197** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market:** 3,800,345,141 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market:** -3,104,634,948 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **1,775,116,145** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **293,319** [7045]
   - **Less: amount offset by customer securities:** -142,998 [7047] 150,321 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **1,775,266,466** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash:** 402,697,717 [7070]
   - **B. Securities representing investments of customers’ funds (at market):** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 506,413 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash:** 55,116,690 [7100]
   - **B. Securities representing investments of customers’ funds (at market):** 524,977,993 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 124,777,634 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-4,183,751** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts:** 3,800,345,141 [7132]
    - **B. Value of open short option contracts:** -3,104,634,948 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity:** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market):** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 0 [7170]

12. **Segregated funds on hand**
    - **4,560,398** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,804,163,287** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **28,896,821** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **9,896,821** [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]