INITIAL
End Date: 7/2/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/6/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - 32,817,276 [7315]
   - **B. Securities (at market)**
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - 154,492 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**
     - 9,836 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     - -2,012 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 32,979,592 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 23,075 [7351]
   - **Less: amount offset by customer owned securities**
     - 0 [7352] 23,075 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 33,002,667 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 33,002,667 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**
     - 9,567,647 [7500]
   - **B. Other banks qualified under Regulation 30.7**
     - 7,614,925 [7520] 17,182,572 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**
     - 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     - 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     - 7,520,159 [7580]
   - **B. Securities**
     - 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7600]
   - **D. Value of long option contracts**
     - 0 [7610]
   - **E. Value of short option contracts**
     - 0 [7615] 7,520,159 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     - 12,404,408 [7640]
   - **B. Securities**
     - 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     - 0 [7660]
   - **D. Value of long option contracts**
     - 0 [7670]
   - **E. Value of short option contracts**
     - 0 [7675] 12,404,408 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     - 1,200,731 [7700]
   - **B. Securities**
     - 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7720]
   - **D. Value of long option contracts**
     - 9,836 [7730]
   - **E. Value of short option contracts**
     - -2,012 [7735] 1,208,555 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 38,315,694 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 5,313,027 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 4,313,027 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)  

1. **Net ledger balance**  
   A. Cash  1,287,498,132 [7010]  
   B. Securities (at market)  125,622,057 [7020]  

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  -148,032,452 [7030]  

3. Exchange traded options  
   A. Add market value of open option contracts purchased on a contract market  3,644,627,906 [7032]  
   B. Deduct market value of open option contracts granted (sold) on a contract market  -3,127,403,414 [7033]  

4. Net equity (deficit) (add lines 1, 2 and 3)  1,782,312,229 [7040]  

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  323,105 [7045]  
   Less: amount offset by customer securities  -122,330 [7047]  
   200,775 [7050]  

6. Amount required to be segregated (add lines 4 and 5)  1,782,513,004 [7060]  

**FUNDS IN SEGREGATED ACCOUNTS**  

7. Deposited in segregated funds bank accounts  
   A. Cash  427,057,015 [7070]  
   B. Securities representing investments of customers’ funds (at market)  0 [7080]  
   C. Securities held for particular customers or option customers in lieu of cash (at market)  502,356 [7090]  

8. Margins on deposit with derivatives clearing organizations of contract markets  
   A. Cash  127,384,470 [7100]  
   B. Securities representing investments of customers’ funds (at market)  604,965,929 [7110]  
   C. Securities held for particular customers or option customers in lieu of cash (at market)  120,572,572 [7120]  

9. Net settlement from (to) derivatives clearing organizations of contract markets  11,587,077 [7130]  

10. Exchange traded options  
    A. Value of open long option contracts  3,644,627,906 [7132]  
    B. Value of open short option contracts  -3,127,403,414 [7133]  

11. Net equities with other FCMs  
    A. Net liquidating equity  0 [7140]  
    B. Securities representing investments of customers’ funds (at market)  0 [7160]  
    C. Securities held for particular customers or option customers in lieu of cash (at market)  0 [7170]  

12. Segregated funds on hand  4,547,129 [7150]  

13. Total amount in segregation (add lines 7 through 12)  1,813,841,040 [7180]  

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  31,328,036 [7190]  

15. Management Target Amount for Excess funds in segregation  19,000,000 [7194]  

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess  12,328,036 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0 [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8760]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8770]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management

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