INITIAL
End Date: 7/8/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/9/2021
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

- Minimum dollar amount: ; or
  - 19,000,000
- Minimum percentage of customer segregated funds required: % ; or
  - 0
- Dollar amount range between: and; or
  - 0 0
- Percentage range of customer segregated funds required between: % and %.
  - 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- Minimum dollar amount: ; or
  - 1,000,000
- Minimum percentage of customer secured funds required: % ; or
  - 0
- Dollar amount range between: and; or
  - 0 0
- Percentage range of customer secured funds required between: % and %.
  - 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- Minimum dollar amount: ; or
  - 0
- Minimum percentage of cleared swaps customer collateral required: % ; or
  - 0
- Dollar amount range between: and; or
  - 0 0
- Percentage range of cleared swaps customer collateral required between: % and %.
  - 0 0

Attach supporting documents
**INITIAL**
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## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: **32,983,804** [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -752,602 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 951 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -7,199 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.): **32,256,044** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: **31,367** [7351]
   - Less: amount offset by customer owned securities: 0 [7352] **31,367** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): **32,256,411** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.:
   - **32,256,411** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: **10,377,157** [7500]
   - B. Other banks qualified under Regulation 30.7: **5,110,980** [7520] **15,488,137** [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: **7,613,064** [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] **7,613,064** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: **13,043,239** [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] **13,043,239** [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: **1,645,498** [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: **951** [7730]
   - E. Value of short option contracts: -7,109 [7735] **1,639,340** [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: **37,783,780** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8):
   - **5,527,369** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:
   - **4,527,369** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 1,267,776,728 [7010]
   - **Securities (at market)**: 121,760,179 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Cash**: -263,744,147 [7030]

3. **Exchange traded options**
   - **Cash**: 1,744,331,706 [7040]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Cash**: 234,418 [7045]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Cash**: -123,293 [7047]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Cash**: 1,744,442,831 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Cash**: 356,458,737 [7070]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 25,460,143 [7100]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Cash**: -1,698,369 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 3,540,191,760 [7132]
    - **Value of open short option contracts**: -2,921,652,814 [7133]

11. **Net equities with other FCMs**
    - **Cash**: 0 [7140]

12. **Segregated funds on hand**
    - **Cash**: 4,501,036 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **Cash**: 1,775,484,484 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Cash**: 31,041,653 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **Cash**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Cash**: 12,041,653 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]