INITIAL
End Date: 7/19/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/20/2021
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
      34,357,251 [7315]
   B. Securities (at market)
      0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -1,488,989 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
      4,128 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      -10,740 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   32,861,650 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   8,392 [7351]
Less: amount offset by customer owned securities
   0 [7352] 8,392 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   32,870,042 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   32,870,042 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States
      10,130,489 [7500]
   B. Other banks qualified under Regulation 30.7
      5,284,316 [7520] 15,414,805 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
      0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
      0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
      8,057,477 [7580]
   B. Securities
      0 [7590]
   C. Unrealized gain (loss) on open futures contracts
      0 [7600]
   D. Value of long option contracts
      0 [7610]
   E. Value of short option contracts
      0 [7615] 8,057,477 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
      12,219,240 [7640]
   B. Securities
      0 [7650]
   C. Amount due to (from) clearing organization - daily variation
      0 [7660]
   D. Value of long option contracts
      0 [7670]
   E. Value of short option contracts
      0 [7675] 12,219,240 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
      2,024,159 [7700]
   B. Securities
      0 [7710]
   C. Unrealized gain (loss) on open futures contracts
      0 [7720]
   D. Value of long option contracts
      4,128 [7730]
   E. Value of short option contracts
      -10,740 [7735] 2,017,547 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts
   37,709,069 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   4,839,027 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    3,839,027 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **A. Cash**: \(1,233,474,015\) [7010]
   - **B. Securities (at market)**: \(115,879,369\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **\(-148,862,791\)** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: \(3,220,752,618\) [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: \(-2,652,864,402\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **\(1,768,378,809\)** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **\(1,452,406\)** [7045]
   - **Less: amount offset by customer securities**: \(-170,637\) [7047]
   - **\(1,281,769\)** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **\(1,769,660,578\)** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: \(328,732,726\) [7070]
   - **B. Securities representing investments of customers' funds (at market)**: \(0\) [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: \(486,784\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: \(101,369,576\) [7100]
   - **B. Securities representing investments of customers' funds (at market)**: \(683,962,031\) [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: \(110,577,617\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **\(-933,273\)** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: \(3,220,752,618\) [7132]
    - **B. Value of open short option contracts**: \(-2,652,864,402\) [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: \(0\) [7140]
    - **B. Securities representing investments of customers' funds (at market)**: \(0\) [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: \(0\) [7170]

12. **Segregated funds on hand**
    - **\(4,814,968\)** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **\(1,796,899,645\)** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **\(27,238,067\)** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **\(19,000,000\)** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **\(8,238,067\)** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash  0 [8500]
   B. Securities (at market)  0 [8510]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  0 [8560]
   Less: amount offset by customer owned securities  0 [8570]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash  0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash  0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]

9. Net settlement from (to) derivatives clearing organizations  0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash  0
    B. Securities representing investments of cleared swaps customers' funds (at market)  0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]