INITIAL
End Date: 7/21/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/22/2021
Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
INITIAL
End Date: 7/21/2021
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 35,439,403 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,509,492 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 5,240 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -6,951 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 33,928,200 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 10,713 [7351]
Less: amount offset by customer owned securities 0 [7352] 10,713 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 33,938,913 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 33,938,913 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 11,450,967 [7500]
   B. Other banks qualified under Regulation 30.7 4,363,758 [7520] 15,814,725 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 8,235,038 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 8,235,038 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 13,220,151 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 13,220,151 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,937,646 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 5,240 [7730]
   E. Value of short option contracts -6,951 [7735] 1,935,935 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 39,205,849 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,266,936 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,266,936 [7785]
<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>A. Cash</td>
<td>1,179,638,718</td>
<td>[7010]</td>
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<td></td>
<td>B. Securities (at market)</td>
<td>120,434,446</td>
<td>[7020]</td>
</tr>
<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>-71,397,859</td>
<td>[7030]</td>
</tr>
<tr>
<td>3</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>3,321,809,699</td>
<td>[7032]</td>
</tr>
<tr>
<td></td>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-2,737,887,061</td>
<td>[7033]</td>
</tr>
<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>1,812,597,943</td>
<td>[7040]</td>
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<td>5</td>
<td>Accounts liquidating to a deficit and accounts with</td>
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<td></td>
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<td></td>
<td>A. Cash</td>
<td>274,366</td>
<td>[7045]</td>
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<td></td>
<td>B. Securities (at market)</td>
<td>-122,426</td>
<td>[7047]</td>
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<td>C. Securities (at market)</td>
<td>151,940</td>
<td>[7050]</td>
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<td>6</td>
<td>Amount required to be segregated (add lines 4 and 5)</td>
<td>1,812,749,883</td>
<td>[7060]</td>
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<td>7</td>
<td>Deposited in segregated funds bank accounts</td>
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<tr>
<td>7.</td>
<td>A. Cash</td>
<td>349,632,961</td>
<td>[7070]</td>
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<td></td>
<td>B. Securities (at market)</td>
<td>0</td>
<td>[7080]</td>
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<tr>
<td></td>
<td>C. Securities (at market)</td>
<td>478,236</td>
<td>[7090]</td>
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<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
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<td>8.</td>
<td>A. Cash</td>
<td>98,961,999</td>
<td>[7100]</td>
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<td>B. Securities (at market)</td>
<td>683,963,012</td>
<td>[7110]</td>
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<td>C. Securities (at market)</td>
<td>115,576,617</td>
<td>[7120]</td>
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<td>9</td>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>5,955,092</td>
<td>[7130]</td>
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<tr>
<td>10</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>A. Value of open long option contracts</td>
<td>3,321,809,699</td>
<td>[7132]</td>
</tr>
<tr>
<td></td>
<td>B. Value of open short option contracts</td>
<td>-2,737,887,061</td>
<td>[7133]</td>
</tr>
<tr>
<td>11</td>
<td>Net equities with other FCMs</td>
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<td>11.</td>
<td>A. Net liquidating equity</td>
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<td>[7140]</td>
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<tr>
<td></td>
<td>B. Securities (at market)</td>
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<td></td>
<td>C. Securities (at market)</td>
<td>0</td>
<td>[7170]</td>
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<tr>
<td>12</td>
<td>Segregated funds on hand</td>
<td>4,379,593</td>
<td>[7150]</td>
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<tr>
<td>13</td>
<td>Total amount in segregation (add lines 7 through 12)</td>
<td>1,842,870,148</td>
<td>[7180]</td>
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<tr>
<td>14</td>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>30,120,265</td>
<td>[7190]</td>
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<tr>
<td>15</td>
<td>Management Target Amount for Excess funds in segregation</td>
<td>19,000,000</td>
<td>[7194]</td>
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<tr>
<td>16</td>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>11,120,265</td>
<td>[7198]</td>
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</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]