INITIAL
End Date: 7/23/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/26/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
    a. Minimum dollar amount: ; or 19,000,000
    b. Minimum percentage of customer segregated funds required: % ; or 0
    c. Dollar amount range between: and; or 0 0
    d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
    a. Minimum dollar amount: ; or 1,000,000
    b. Minimum percentage of customer secured funds required: % ; or 0
    c. Dollar amount range between: and; or 0 0
    d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
    a. Minimum dollar amount: ; or 0
    b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
    c. Dollar amount range between: and; or 0 0
    d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 35,072,647 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -1,821,678 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 8,112 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: -2,970 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 33,256,111 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 27,312 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5):
   - Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 33,283,423 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 8,904,432 [7500]
   - B. Other banks qualified under Regulation 30.7: 6,123,017 [7520] 15,027,449 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 8,893,798 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 8,893,798 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 12,411,421 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 12,411,421 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 2,057,261 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 8,112 [7730]
   - E. Value of short option contracts: -2,970 [7735] 2,062,403 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 38,395,071 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 5,111,648 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,111,648 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash
     1,136,501,939 [7010]
   - B. Securities (at market)
     115,157,602 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - (-92,979,367) [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market
     3,301,156,824 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     -2,646,775,555 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - 1,813,061,443 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - 265,409 [7045]
   - Less: amount offset by customer securities
     -128,136 [7047] 137,273 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - 1,813,198,716 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash
     428,349,283 [7070]
   - B. Securities representing investments of customers’ funds (at market)
     0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     480,755 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash
     33,745,217 [7100]
   - B. Securities representing investments of customers’ funds (at market)
     633,957,074 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     110,279,113 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - (-19,982,984) [7130]

10. Exchange traded options
    - A. Value of open long option contracts
        3,301,156,824 [7132]
    - B. Value of open short option contracts
        -2,646,775,555 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity
        0 [7140]
    - B. Securities representing investments of customers’ funds (at market)
        0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    - 4,397,734 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - 1,845,607,461 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 32,408,745 [7190]

15. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
    - 13,408,745 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**