INITIAL
End Date: 7/26/2021
Firm Name: WEBSHUSB SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/27/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer segregated funds required between: and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer secured funds required between: and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of cleared swaps customer collateral required between: and 0%

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash:** 34,436,625 [7315]
   - **B. Securities (at market):** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** -2,039,478 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade:** 9,075 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** -1,796 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 32,404,426 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities:** 0 [7352] 313,144 [7353]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 32,717,570 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 32,717,570 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States:** 7,757,507 [7500]
   - **B. Other banks qualified under Regulation 30.7:** 6,185,856 [7520] 13,943,363 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States:** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash:** 8,937,503 [7580]
   - **B. Securities:** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]
   - **D. Value of long option contracts:** 0 [7610]
   - **E. Value of short option contracts:** 0 [7615] 8,937,503 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash:** 12,056,814 [7640]
   - **B. Securities:** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]
   - **D. Value of long option contracts:** 0 [7670]
   - **E. Value of short option contracts:** 0 [7675] 12,056,814 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash:** 2,045,944 [7700]
   - **B. Securities:** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]
   - **D. Value of long option contracts:** 9,075 [7730]
   - **E. Value of short option contracts:** -1,796 [7735] 2,053,223 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 36,990,903 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 4,273,333 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 3,273,333 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   
   **A. Cash**
   - 1,115,950,183 [7010]

   **B. Securities (at market)**
   - 115,172,221 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -71,629,088 [7030]

3. **Exchange traded options**
   
   **A. Add market value of open option contracts purchased on a contract market**
   - 3,296,402,777 [7032]

   **B. Deduct market value of open option contracts granted (sold) on a contract market**
   - -2,648,882,532 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,807,013,561 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 493,833 [7045]

   **Less: amount offset by customer securities**
   - -374,062 [7047] 119,771 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,807,133,332 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   
   **A. Cash**
   - 410,418,687 [7070]

   **B. Securities representing investments of customers’ funds (at market)**
   - 0 [7080]

   **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   - 480,755 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   
   **A. Cash**
   - 36,768,683 [7100]

   **B. Securities representing investments of customers’ funds (at market)**
   - 633,953,893 [7110]

   **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   - 110,279,113 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -7,511,275 [7130]

10. **Exchange traded options**
    
    **A. Value of open long option contracts**
    - 3,296,402,777 [7132]

    **B. Value of open short option contracts**
    - -2,648,882,532 [7133]

11. **Net equities with other FCMs**
    
    **A. Net liquidating equity**
    - 0 [7140]

    **B. Securities representing investments of customers’ funds (at market)**
    - 0 [7160]

    **C. Securities held for particular customers or option customers in lieu of cash (at market)**
    - 0 [7170]

12. **Segregated funds on hand**
    - 4,412,353 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,836,322,454 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 29,189,122 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 10,189,122 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]