INITIAL
End Date: 7/29/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/30/2021
INITIAL
End Date: 7/29/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/30/2021

Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
**INITIAL**

End Date: 7/29/2021  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 7/30/2021

### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash: $34,131,333 [7315]  
   B. Securities (at market): $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: $-1,216,295 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: $18,905 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: $-326 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): $32,933,617 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: $6,778 [7351]  
Less: amount offset by customer owned securities: $0 [7352]  
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): $32,940,395 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: $32,940,395 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States: $7,385,043 [7500]  
   B. Other banks qualified under Regulation 30.7: $7,279,217 [7520]  
2. Securities  
   A. In safekeeping with banks located in the United States: $0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560]  
3. Equities with registered futures commission merchants  
   A. Cash: $8,901,272 [7580]  
   B. Securities: $0 [7590]  
   C. Unrealized gain (loss) on open futures contracts: $0 [7600]  
   D. Value of long option contracts: $0 [7610]  
   E. Value of short option contracts: $0 [7615]  
4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash: $12,142,141 [7640]  
   B. Securities: $0 [7650]  
   C. Amount due to (from) clearing organization - daily variation: $0 [7660]  
   D. Value of long option contracts: $0 [7670]  
   E. Value of short option contracts: $0 [7675]  
5. Amounts held by members of foreign boards of trade  
   A. Cash: $1,796,229 [7700]  
   B. Securities: $0 [7710]  
   C. Unrealized gain (loss) on open futures contracts: $0 [7720]  
   D. Value of long option contracts: $18,905 [7730]  
   E. Value of short option contracts: $-326 [7735]  
6. Amounts with other depositories designated by a foreign board of trade: $0 [7760]  
7. Segregated funds on hand: $0 [7765]

8. Total funds in separate section 30.7 accounts: $37,522,481 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): $4,582,086 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: $1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: $3,582,086 [7785]
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **Cash**: $1,199,000,089
   - **Securities (at market)**: $121,855,626

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **Net unrealized profit**: ($18,619,925)

3. Exchange traded options
   - **Add market value of open option contracts purchased on a contract market**: $3,316,495,494
   - **Deduct market value of open option contracts granted (sold) on a contract market**: ($2,683,165,916)

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **Net equity**: $1,935,566,368

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **Accounts liquidating to a deficit and accounts with debit balances**: $250,040
   - **Less: amount offset by customer securities**: ($123,066)

6. Amount required to be segregated (add lines 4 and 5)
   - **Amount required to be segregated**: $1,935,692,342

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - **Cash**: $443,739,065
   - **Securities representing investments of customers' funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $491,305

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **Cash**: $112,217,985
   - **Securities representing investments of customers' funds (at market)**: $633,958,166
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $116,877,613

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **Net settlement**: $19,547,394

10. Exchange traded options
    - **Value of open long option contracts**: $3,316,495,494
    - **Value of open short option contracts**: ($2,683,165,916)

11. Net equities with other FCMs
    - **Net liquidating equity**: $0
    - **Securities representing investments of customers' funds (at market)**: $0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0

12. Segregated funds on hand
    - **Segregated funds on hand**: $4,486,708

13. Total amount in segregation (add lines 7 through 12)
    - **Total amount in segregation**: $1,964,647,814

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **Excess (deficiency) funds in segregation**: ($28,955,472)

15. Management Target Amount for Excess funds in segregation
    - **Management Target Amount for Excess funds in segregation**: $19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess (deficiency) funds in segregation over (under) Management Target Amount**: $9,955,472

---

**INITIAL**

**End Date:** 7/29/2021  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 7/30/2021
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]