INITIAL
End Date: 8/10/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/11/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash**: $33,209,904 [7315]
   - **B. Securities (at market)**: $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   - **$-715,647** [7325]

3. Exchange traded options
   - **a. Market value of open option contracts purchased on a foreign board of trade**: $12,087 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: $2 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   - **$32,506,341** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - **Less: amount offset by customer owned securities**: $0 [7352] **$3,981** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - **$32,510,322** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - **$32,510,322** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - **A. Banks located in the United States**: $10,602,575 [7500]
   - **B. Other banks qualified under Regulation 30.7**: $4,447,773 [7520] **$15,050,348** [7530]

2. Securities
   - **A. In safekeeping with banks located in the United States**: $0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: $0 [7560] **$0** [7570]

3. Equities with registered futures commission merchants
   - **A. Cash**: $8,823,586 [7580]
   - **B. Securities**: $0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7600]
   - **D. Value of long option contracts**: $0 [7610]
   - **E. Value of short option contracts**: $0 [7615] **$8,823,586** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - **A. Cash**: $11,938,195 [7640]
   - **B. Securities**: $0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: $0 [7660]
   - **D. Value of long option contracts**: $0 [7670]
   - **E. Value of short option contracts**: $0 [7675] **$11,938,195** [7680]

5. Amounts held by members of foreign boards of trade
   - **A. Cash**: $1,248,220 [7700]
   - **B. Securities**: $0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7720]
   - **D. Value of long option contracts**: $12,087 [7730]
   - **E. Value of short option contracts**: $-3 [7735] **$1,260,304** [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - **$0** [7760]

7. Segregated funds on hand
   - **$0** [7765]

8. Total funds in separate section 30.7 accounts
   - **$37,072,433** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - **$4,562,111** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - **$1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - **$3,562,111** [7785]
### Daily Segregation - Segregation Statement

SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - $1,303,547,993 [7010]
   - **B. Securities (at market)**
     - $127,005,404 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-78,768,653 [7030]**

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - $3,267,430,749 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - $2,617,646,664 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **2,001,568,829 [7040]**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **422,318 [7045]**
   - **Less: amount offset by customer securities**
     - **-304,626 [7047]**
     - **117,692 [7050]**

6. **Amount required to be segregated (add lines 4 and 5)**
   - **2,001,686,521 [7060]**

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - $557,089,226 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $480,810 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - $100,688,949 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - $583,968,473 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $122,376,113 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **$13,640,925 [7130]**

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - **$3,267,430,749 [7132]**
    - **B. Value of open short option contracts**
      - **$-2,617,646,664 [7133]**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - **$0 [7140]**
    - **B. Securities representing investments of customers’ funds (at market)**
      - **$0 [7160]**
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - **$0 [7170]**

12. **Segregated funds on hand**
    - **$4,148,481 [7150]**

13. **Total amount in segregation (add lines 7 through 12)**
    - **$2,032,177,062 [7180]**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **$30,490,541 [7190]**

15. **Management Target Amount for Excess funds in segregation**
    - **$19,000,000 [7194]**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - **$11,490,541 [7198]**
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDs IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]