INITIAL
End Date: 8/12/2021
Firm Name: WEVBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/13/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: $33,345,756 [7315]
   - B. Securities (at market): $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $288,472 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: $12,746 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: $0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $33,646,974 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - Less: amount offset by customer owned securities: $0 [7352]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $33,655,483 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - $33,655,483 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: $10,960,901 [7500]
   - B. Other banks qualified under Regulation 30.7: $3,858,992 [7520]; **14,819,893** [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: $0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560]; **0** [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: $9,715,509 [7580]
   - B. Securities: $0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7600]
   - D. Value of long option contracts: $0 [7610]
   - E. Value of short option contracts: $0 [7615]; **9,715,509** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: $12,550,134 [7640]
   - B. Securities: $0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: $0 [7660]
   - D. Value of long option contracts: $0 [7670]
   - E. Value of short option contracts: $0 [7675]; **12,550,134** [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: $1,294,173 [7700]
   - B. Securities: $0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7720]
   - D. Value of long option contracts: $12,746 [7730]
   - E. Value of short option contracts: $0 [7735]; **1,306,919** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: $0 [7760]

7. **Segregated funds on hand**: $0 [7765]

8. **Total funds in separate section 30.7 accounts**: $38,392,455 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $4,736,972 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $3,736,972 [7785]
### Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**: $1,281,846,517 [7010]
   - **B. Securities (at market)**: $128,022,965 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **$-94,992,295 [7030]**

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $3,285,596,818 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-2,632,892,000 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **$1,967,582,005 [7040]**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **$242,392 [7045]**
   - **Less: amount offset by customer securities**: $-131,659 [7047] $110,733 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **$1,967,692,738 [7060]**

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $543,763,366 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $481,423 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $100,022,672 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $583,966,343 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $123,376,113 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **$-12,762,824 [7130]**

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $3,285,596,818 [7132]
    - **B. Value of open short option contracts**: $-2,632,892,000 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**
    - **$4,165,429 [7150]**

13. **Total amount in segregation (add lines 7 through 12)**
    - **$1,995,717,340 [7180]**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **$28,024,602 [7190]**

15. **Management Target Amount for Excess funds in segregation**
    - **$19,000,000 [7194]**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $9,024,602 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management