INITIAL
End Date: 8/13/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/16/2021
WEDBUSH SECURITIES INC

Kevin Wirth
312-784-0475
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 32,724,636 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 537,483 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 14,856 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 33,276,975 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: 0 [7352] 9,158 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 33,286,133 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 33,286,133 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 10,468,504 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 3,664,356 [7520] 14,132,860 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 9 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 10,367,314 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 10,367,314 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 12,202,847 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 12,202,847 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 1,423,529 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 14,856 [7730]
   - **E. Value of short option contracts**: 0 [7735] 1,438,385 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 38,141,406 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,855,273 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,855,273 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash  
     **1,300,117,846** [7010]
   - B. Securities (at market)  
     **127,992,320** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   **-60,103,907** [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  
     **3,236,425,276** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  
     **-2,601,263,776** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   **2,003,167,759** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   **1,118,427** [7045]

   - Less: amount offset by customer securities  
     **-126,393** [7047]  
     **992,034** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   **2,004,159,793** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash  
     **590,125,244** [7070]
   - B. Securities representing investments of customers’ funds (at market)  
     **0** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     **480,312** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  
     **124,311,955** [7100]
   - B. Securities representing investments of customers’ funds (at market)  
     **583,967,697** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     **123,376,113** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   **-30,051,375** [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts  
      **3,236,425,276** [7132]
    - B. Value of open short option contracts  
      **-2,601,263,776** [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity  
      **0** [7140]
    - B. Securities representing investments of customers’ funds (at market)  
      **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  
      **0** [7170]

12. **Segregated funds on hand**  
    **4,135,895** [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    **2,031,507,341** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    **27,347,548** [7190]

15. **Management Target Amount for Excess funds in segregation**  
    **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**  
    **8,347,548** [7198]

Excess
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]