INITIAL
End Date: 8/16/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/17/2021
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000

b. Minimum percentage of customer segregated funds required:% ; or 0

c. Dollar amount range between:and; or 0 0

d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000

b. Minimum percentage of customer secured funds required:% ; or 0

c. Dollar amount range between:and; or 0 0

d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0

b. Minimum percentage of cleared swaps customer collateral required:% ; or 0

c. Dollar amount range between:and; or 0 0

d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 33,049,654 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 319,539 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 15,492 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 33,384,685 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 9,691 [7351]
   Less: amount offset by customer owned securities 0 [7352] 9,691 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 33,394,376 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 33,394,376 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 10,892,809 [7500]
   B. Other banks qualified under Regulation 30.7 3,476,756 [7520] 14,369,565 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 9,934,122 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 9,934,122 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 12,612,169 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 12,612,169 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,420,150 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 15,492 [7730]
   E. Value of short option contracts 0 [7735] 1,435,642 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 38,351,498 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,957,122 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,957,122 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,267,811,182 [7010]
   B. Securities (at market) 128,090,369 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -19,021,446 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,223,897,172 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,571,457,768 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,029,319,509 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 240,377 [7045]
   Less: amount offset by customer securities -125,235 [7047]
   115,142 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,029,434,651 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 560,087,319 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 477,313 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 113,714,656 [7100]
   B. Securities representing investments of customers’ funds (at market) 583,971,665 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 123,376,113 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 20,050,408 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,223,897,172 [7132]
    B. Value of open short option contracts -2,571,457,768 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,236,943 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,058,353,821 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,919,170 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 9,919,170 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]