INITIAL
End Date: 8/17/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/18/2021
WEDBUSH SECURITIES INC

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
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**Daily Segregation - Secured Amounts**

- Foreign Futures and Foreign Options Secured Amounts
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 33,058,717 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 197,019 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 15,506 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 33,276,242 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 5,021 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 5,021 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 33,276,263 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 33,276,263 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: 10,543,842 [7500]
   - B. Other banks qualified under Regulation 30.7: 3,546,756 [7520] 14,090,598 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 10,142,805 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 10,142,805 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 12,483,781 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 12,483,781 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 1,552,504 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 15,506 [7730]
   - E. Value of short option contracts: 0 [7735] 1,568,010 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 38,285,194 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 5,008,931 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,008,931 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash  \[1,280,315,669\] [7010]
   - B. Securities (at market)  \[121,836,840\] [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  \[-23,740,652\] [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market  \[3,098,735,504\] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  \[-2,462,255,176\] [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)  \[2,014,892,185\] [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  \[233,707\] [7045]
   - Less: amount offset by customer securities  \[-126,464\] [7047] \[107,243\] [7050]

6. Amount required to be segregated (add lines 4 and 5)  \[2,014,999,428\] [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - A. Cash  \[573,432,690\] [7070]
   - B. Securities representing investments of customers' funds (at market)  \[0\] [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[493,518\] [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash  \[116,556,264\] [7100]
   - B. Securities representing investments of customers' funds (at market)  \[583,973,149\] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[116,877,613\] [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  \[9,927,000\] [7130]

10. Exchange traded options
    - A. Value of open long option contracts  \[3,098,735,504\] [7132]
    - B. Value of open short option contracts  \[-2,462,255,176\] [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity  \[0\] [7140]
    - B. Securities representing investments of customers' funds (at market)  \[0\] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[0\] [7170]

12. Segregated funds on hand  \[4,465,709\] [7150]

13. Total amount in segregation (add lines 7 through 12)  \[2,042,206,271\] [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  \[27,206,843\] [7190]

15. Management Target Amount for Excess funds in segregation  \[19,000,000\] [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess  \[8,206,843\] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]