INITIAL
End Date: 8/18/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/19/2021
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   19,000,000

b. Minimum percentage of customer segregated funds required:% ; or
   0

c. Dollar amount range between:and; or
   0 0

d. Percentage range of customer segregated funds required between:% and%.
   0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   1,000,000

b. Minimum percentage of customer secured funds required:% ; or
   0

c. Dollar amount range between:and; or
   0 0

d. Percentage range of customer secured funds required between:% and%.
   0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   0

b. Minimum percentage of cleared swaps customer collateral required:% ; or
   0

c. Dollar amount range between:and; or
   0 0

d. Percentage range of cleared swaps customer collateral required between:% and%.
   0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 33,615,914 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 515,930 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 12,732 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 34,144,576 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 15,640 [7351]
Less: amount offset by customer owned securities 0 [7352] 15,640 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 34,160,216 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 34,160,216 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 11,480,234 [7500]
   B. Other banks qualified under Regulation 30.7 3,916,247 [7520] 15,396,481 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 10,206,101 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 10,206,101 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 11,954,115 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 11,954,115 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,634,670 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 12,732 [7730]
   E. Value of short option contracts 0 [7735] 1,647,402 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 39,204,099 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,043,883 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,043,883 [7785]
<p>| | | |</p>
<table>
<thead>
<tr>
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<tr>
<td><strong>Daily Segregation - Segregation Statement</strong></td>
<td><strong>SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)</strong></td>
<td></td>
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<tr>
<td></td>
<td><strong>1. Net ledger balance</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>$1,298,568,093 [7010]</td>
</tr>
<tr>
<td></td>
<td>B. Securities (at market)</td>
<td>$121,836,840 [7020]</td>
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<tr>
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<td><strong>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</strong></td>
<td><strong>-25,195,005 [7030]</strong></td>
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<td><strong>3. Exchange traded options</strong></td>
<td></td>
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<tr>
<td></td>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>$3,064,318,816 [7032]</td>
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<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td><strong>-2,433,275,090 [7033]</strong></td>
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<td>**4. Net equity (deficit) **(add lines 1, 2 and 3)</td>
<td><strong>2,026,253,654 [7040]</strong></td>
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<td><strong>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
<td><strong>331,275 [7045]</strong></td>
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<td>Less: amount offset by customer securities</td>
<td><strong>-126,944 [7047]</strong> <strong>204,331 [7050]</strong></td>
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<tr>
<td></td>
<td><strong>6. Amount required to be segregated (add lines 4 and 5)</strong></td>
<td><strong>2,026,457,985 [7060]</strong></td>
</tr>
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<td><strong>7. Deposited in segregated funds bank accounts</strong></td>
<td><strong>554,367,886 [7070]</strong></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td></td>
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<tr>
<td></td>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td><strong>0 [7080]</strong></td>
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<td></td>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td><strong>493,518 [7090]</strong></td>
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<td><strong>8. Margins on deposit with derivatives clearing organizations of contract markets</strong></td>
<td></td>
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<tr>
<td></td>
<td>A. Cash</td>
<td><strong>135,142,114 [7100]</strong></td>
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<td></td>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td><strong>608,974,874 [7110]</strong></td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td><strong>116,877,613 [7120]</strong></td>
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<tr>
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<td><strong>9. Net settlement from (to) derivatives clearing organizations of contract markets</strong></td>
<td><strong>3,003,472 [7130]</strong></td>
</tr>
<tr>
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<td><strong>10. Exchange traded options</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Value of open long option contracts</td>
<td><strong>3,064,318,816 [7132]</strong></td>
</tr>
<tr>
<td></td>
<td>B. Value of open short option contracts</td>
<td><strong>-2,433,275,090 [7133]</strong></td>
</tr>
<tr>
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<td><strong>11. Net equities with other FCMs</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Net liquidating equity</td>
<td><strong>0 [7140]</strong></td>
</tr>
<tr>
<td></td>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td><strong>0 [7160]</strong></td>
</tr>
<tr>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td><strong>0 [7170]</strong></td>
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<tr>
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<td><strong>12. Segregated funds on hand</strong></td>
<td><strong>4,465,709 [7150]</strong></td>
</tr>
<tr>
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<td><strong>13. Total amount in segregation (add lines 7 through 12)</strong></td>
<td><strong>2,054,368,912 [7180]</strong></td>
</tr>
<tr>
<td></td>
<td><strong>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</strong></td>
<td><strong>27,910,927 [7190]</strong></td>
</tr>
<tr>
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<td><strong>15. Management Target Amount for Excess funds in segregation</strong></td>
<td><strong>19,000,000 [7194]</strong></td>
</tr>
<tr>
<td></td>
<td><strong>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</strong></td>
<td><strong>8,910,927 [7198]</strong></td>
</tr>
</tbody>
</table>
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash
   B. Securities (at market)  0 [8500]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities  0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8630]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8640]

9. Net settlement from (to) derivatives clearing organizations  0 [8650]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8660]
    B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8730]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8760]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8770]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

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