INITIAL
End Date: 8/23/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/24/2021
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   b. Minimum percentage of customer segregated funds required: % ; or 
   c. Dollar amount range between: ; or 
   d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: ; or
   d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: ; or
   d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder  

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 [7305]</td>
<td></td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash                                                                  32,168,341 [7315]  
   B. Securities (at market)                                                0 [7317]  

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   492,437 [7325]  

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
      14,954 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
      0 [7337]  

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   32,675,732 [7345]  

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   19,549 [7351]  

6. Amount required to be set aside as the secured amount - Net Liquidating Equity  
   Method (add lines 4 and 5)  
   32,695,281 [7355]  

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   32,695,281 [7360]  

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States  
      6,660,317 [7500]  
   B. Other banks qualified under Regulation 30.7  
      2,876,210 [7520]  

2. Securities  
   A. In safekeeping with banks located in the United States  
      0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
      0 [7560]  

3. Equities with registered futures commission merchants  
   A. Cash                                                                  9,982,223 [7580]  
   B. Securities                                                             0 [7590]  
   C. Unrealized gain (loss) on open futures contracts                       0 [7600]  
   D. Value of long option contracts                                         0 [7610]  
   E. Value of short option contracts                                       0 [7615]  

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash                                                                  13,124,099 [7640]  
   B. Securities                                                             0 [7650]  
   C. Amount due to (from) clearing organization - daily variation           0 [7660]  
   D. Value of long option contracts                                         0 [7670]  
   E. Value of short option contracts                                        0 [7675]  

5. Amounts held by members of foreign boards of trade  
   A. Cash                                                                  5,315,649 [7700]  
   B. Securities                                                             0 [7710]  
   C. Unrealized gain (loss) on open futures contracts                       0 [7720]  
   D. Value of long option contracts                                         14,954 [7730]  
   E. Value of short option contracts                                        0 [7735]  

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]  

7. Segregated funds on hand  
   0 [7765]  

8. Total funds in separate section 30.7 accounts  
   37,973,452 [7770]  

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   5,278,171 [7780]  

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]  

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    4,278,171 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash:** 1,413,227,476 [7010]
   - **B. Securities (at market):** 127,487,683 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-29,165,220** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market:** 2,753,754,692 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market:** -2,187,783,555 [7033]
   - **Net equity (deficit) (add lines 1, 2 and 3):** 2,077,521,076 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities:** -128,875 [7047]
   - **172,546** [7050]

5. **Amount required to be segregated (add lines 4 and 5)**
   - **2,077,693,622** [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash:** 570,736,353 [7070]
   - **B. Securities representing investments of customers' funds (at market):** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 453,138 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash:** 205,289,982 [7100]
   - **B. Securities representing investments of customers' funds (at market):** 608,978,017 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 122,876,611 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **29,844,854** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts:** 2,753,754,692 [7132]
    - **B. Value of open short option contracts:** -2,187,783,555 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity:** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market):** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 0 [7170]

12. **Segregated funds on hand**
    - **4,157,934** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **2,108,308,026** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **30,614,404** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **11,614,404** [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]