INITIAL
End Date: 8/27/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/30/2021
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 33,043,667 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 299,456 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 16,298 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 33,359,421 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 10,956 [7351]
   Less: amount offset by customer owned securities 0 [7352] 10,956 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 33,370,377 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 33,370,377 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 9,358,695 [7500]
   B. Other banks qualified under Regulation 30.7 4,423,876 [7520] 13,782,571 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 9,969,081 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 9,969,081 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 12,908,309 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 12,908,309 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,781,526 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 16,298 [7730]
   E. Value of short option contracts 0 [7735] 1,797,824 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 38,457,785 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,087,408 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,087,408 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)**

1. **Net ledger balance**
   - **A. Cash**  
     \[1,373,860,379\]  
   - **B. Securities (at market)**  
     \[131,601,614\]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
\[75,258,772\]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  
     \[2,754,289,532\]  
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  
     \[-2,174,740,211\]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
\[2,160,270,086\]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
\[237,746\]  
   - **Less: amount offset by customer securities**  
     \[-128,419\]  
   - **109,327**  

6. **Amount required to be segregated (add lines 4 and 5)**  
\[2,160,379,413\]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**  
     \[546,138,003\]  
   - **B. Securities representing investments of customers’ funds (at market)**  
     \[0\]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[462,330\]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  
     \[313,661,851\]  
   - **B. Securities representing investments of customers’ funds (at market)**  
     \[574,972,921\]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[126,876,611\]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
\[44,602,831\]

10. **Exchange traded options**
    - **A. Value of open long option contracts**  
      \[2,754,289,532\]  
    - **B. Value of open short option contracts**  
      \[-2,174,740,211\]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  
      \[0\]  
    - **B. Securities representing investments of customers’ funds (at market)**  
      \[0\]  
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
      \[0\]

12. **Segregated funds on hand**  
\[4,262,673\]

13. **Total amount in segregation (add lines 7 through 12)**  
\[2,190,526,541\]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
\[30,147,128\]

15. **Management Target Amount for Excess funds in segregation**  
\[19,000,000\]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**  
      \[11,147,128\]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]