INITIAL
End Date: 9/9/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/10/2021
Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 34,156,000 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:
   -798,141 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 33,357,859 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount:
   7,947 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 7,947 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5): 33,365,806 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6:
   33,365,806 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States: 11,535,396 [7500]
   B. Other banks qualified under Regulation 30.7: 2,898,518 [7520] 14,433,914 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 9,153,072 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 9,153,072 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 13,349,465 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 13,349,465 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 960,078 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 960,078 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 37,896,529 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8): 4,530,723 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:
    3,530,723 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,550,368,669 [7010]
   - B. Securities (at market): 141,192,010 [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 47,803,941 [7030]
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 2,833,935,533 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,203,510,118 [7033]
4. Net equity (deficit) (add lines 1, 2 and 3): 2,369,790,035 [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,463,036 [7045]
   - Less: amount offset by customer securities: -205,981 [7047] 1,257,055 [7050]
6. Amount required to be segregated (add lines 4 and 5): 2,371,047,090 [7060]
7. Deposited in segregated funds bank accounts
   - A. Cash: 641,644,187 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 446,387 [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 419,038,960 [7100]
   - B. Securities representing investments of customers' funds (at market): 524,966,591 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 136,475,611 [7120]
10. Exchange traded options
    - A. Value of open long option contracts: 2,833,935,533 [7132]
    - B. Value of open short option contracts: -2,203,510,118 [7133]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]
12. Segregated funds on hand: 4,270,012 [7150]
13. Total amount in segregation (add lines 7 through 12): 2,398,965,098 [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 27,937,968 [7190]
15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 8,937,968 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8580]
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]