INITIAL
End Date: 9/10/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/13/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

#### Daily Segregation - Secured Amounts

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - 35,119,838
   - **B. Securities (at market)**
     - 0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -498,233

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**
     - 0
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**
     - 0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 34,621,605

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 4,925

   Less: amount offset by customer owned securities
   - 0

   **4,925**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 34,626,530

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 34,626,530

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States
     - 12,943,773
   - B. Other banks qualified under Regulation 30.7
     - 3,365,011

2. **Securities**
   - A. In safekeeping with banks located in the United States
     - 0
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - 0

3. **Equities with registered futures commission merchants**
   - A. Cash
     - 9,406,986
   - B. Securities
     - 0
   - C. Unrealized gain (loss) on open futures contracts
     - 0
   - D. Value of long option contracts
     - 0
   - E. Value of short option contracts
     - 0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash
     - 12,834,631
   - B. Securities
     - 0
   - C. Amount due to (from) clearing organization - daily variation
     - 0
   - D. Value of long option contracts
     - 0
   - E. Value of short option contracts
     - 0

5. **Amounts held by members of foreign boards of trade**
   - A. Cash
     - 694,160
   - B. Securities
     - 0
   - C. Unrealized gain (loss) on open futures contracts
     - 0
   - D. Value of long option contracts
     - 0
   - E. Value of short option contracts
     - 0

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0

7. **Segregated funds on hand**
   - 0

8. **Total funds in separate section 30.7 accounts**
   - 39,244,561

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 4,618,031

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 3,618,031

### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance
   - A. Cash: \(1,509,503,820\)
   - B. Securities (at market): \(141,191,802\)

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: \(41,610,501\)

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \(2,718,567,205\)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-2,166,576,920\)

4. Net equity (deficit) (add lines 1, 2 and 3): \(2,244,296,408\)

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \(340,782\)
   - Less: amount offset by customer securities: \(-147,856\)
   - Result: \(192,926\)

6. Amount required to be segregated (add lines 4 and 5): \(2,244,489,334\)

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: \(622,060,724\)
   - B. Securities representing investments of customers' funds (at market): \(0\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(442,161\)

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \(437,900,159\)
   - B. Securities representing investments of customers' funds (at market): \(524,970,347\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(136,475,611\)

9. Net settlement from (to) derivatives clearing organizations of contract markets: \(-3,694,942\)

10. Exchange traded options
    - A. Value of open long option contracts: \(2,718,567,205\)
    - B. Value of open short option contracts: \(-2,166,576,920\)

11. Net equities with other FCMs
    - A. Net liquidating equity: \(0\)
    - B. Securities representing investments of customers' funds (at market): \(0\)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\)

12. Segregated funds on hand: \(4,274,030\)

13. Total amount in segregation (add lines 7 through 12): \(2,274,418,375\)

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): \(29,929,041\)

15. Management Target Amount for Excess funds in segregation: \(19,000,000\)

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: \(10,929,041\)
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]