INITIAL
End Date:9/14/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/15/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
d. Percentage range of customer segregated funds required between: % and%. 0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
d. Percentage range of customer secured funds required between: % and%. 0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0
   0

Attach supporting documents CH
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash
     - 34,866,368 [7315]
   - B. Securities (at market)
     - 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   - -1,078,173 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade
     - 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade
     - 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   - 33,788,195 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - 6,354 [7351]
   - Less: amount offset by customer owned securities
     - 0 [7352] 6,354 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   - Method (add lines 4 and 5)
   - 33,794,549 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - 33,794,549 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States
     - 12,201,403 [7500]
   - B. Other banks qualified under Regulation 30.7
     - 3,231,931 [7520] 15,433,334 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States
     - 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash
     - 9,316,039 [7580]
   - B. Securities
     - 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7600]
   - D. Value of long option contracts
     - 0 [7610]
   - E. Value of short option contracts
     - 0 [7615] 9,316,039 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash
     - 13,138,029 [7640]
   - B. Securities
     - 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation
     - 0 [7660]
   - D. Value of long option contracts
     - 0 [7670]
   - E. Value of short option contracts
     - 0 [7675] 13,138,029 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash
     - 680,130 [7700]
   - B. Securities
     - 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7720]
   - D. Value of long option contracts
     - 0 [7730]
   - E. Value of short option contracts
     - 0 [7735] 680,130 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - 0 [7760]

7. Segregated funds on hand
   - 0 [7765]

8. Total funds in separate section 30.7 accounts
   - 38,567,532 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - 4,772,983 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - 3,772,983 [7785]
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### Daily Segregation - Segregation Statement

SEgregation requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash:** 1,318,323,396 [7010]
   - **B. Securities (at market):** 142,191,802 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  
   - **147,988,952 [7030]**

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market:** 2,809,839,363 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market:** -2,089,525,554 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)  
   - **2,328,817,959 [7040]**

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  
   - **231,088 [7045]**
   - Less: amount offset by customer securities  
   - -132,163 [7047] **98,925 [7050]**

6. Amount required to be segregated (add lines 4 and 5)  
   - **2,328,916,884 [7060]**

#### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - **A. Cash:** 538,879,721 [7070]
   - **B. Securities representing investments of customers' funds (at market):** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 442,161 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash:** 418,801,295 [7100]
   - **B. Securities representing investments of customers' funds (at market):** 524,975,475 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 137,475,611 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  
   - **11,166,769 [7130]**

10. Exchange traded options
   - **A. Value of open long option contracts:** 2,809,839,363 [7132]
   - **B. Value of open short option contracts:** -2,089,525,554 [7133]

11. Net equities with other FCMs
   - **A. Net liquidating equity:** 0 [7140]
   - **B. Securities representing investments of customers' funds (at market):** 0 [7160]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** 0 [7170]

12. Segregated funds on hand  
   - **4,274,030 [7150]**

13. Total amount in segregation (add lines 7 through 12)  
   - **2,356,328,871 [7180]**

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  
   - **27,411,987 [7190]**

15. Management Target Amount for Excess funds in segregation  
   - **19,000,000 [7194]**

16. Excess (deficiency) funds in segregation over (under) Management Target Amount  
   - **Excess**  
   - **8,411,987 [7198]**
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]