INITIAL
End Date: 9/16/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/17/2021
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC
Contact Name
Kevin Wirth
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312-784-0475
Contact Email Address
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents CH
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 35,566,393 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -2,084,413 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3.)**: 33,481,980 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,211 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 6,211 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 33,488,191 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 33,488,191 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 12,376,912 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 3,585,949 [7520] 15,962,861 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 8,558,318 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 8,558,318 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 13,377,612 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 13,377,612 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 629,274 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 629,274 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 38,528,065 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,039,874 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,039,874 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,322,561,520 [7010]
   B. Securities (at market) 145,979,796 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 96,094,546 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,892,068,821 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,143,585,051 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,313,119,632 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 284,928 [7045]
   Less: amount offset by customer securities -183,098 [7047] 101,830 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,313,221,462 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 548,809,574 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 434,523 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 444,332,335 [7100]
   B. Securities representing investments of customers' funds (at market) 474,976,405 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 141,474,111 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -20,181,781 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,892,068,821 [7132]
    B. Value of open short option contracts -2,143,585,051 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,071,162 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,342,400,099 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 29,178,637 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 10,178,637 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]

2. Net unrealized profit (loss) in open cleared swaps [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]

   Less: amount offset by customer owned securities [8570] [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. Net settlement from (to) derivatives clearing organizations [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670]
    B. Value of open cleared swaps short option contracts [8680]

11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. Cleared swaps customer funds on hand
    A. Cash [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770]