INITIAL
End Date: 9/17/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/20/2021
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: £ or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: £ or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0

c. Dollar amount range between:and; or 0 0

d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: £ or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0

c. Dollar amount range between:and; or 0 0

d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash [7315] 35,503,493
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade [7325] -2,025,758

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade [7335] 0
   b. Market value of open contracts granted (sold) on a foreign board of trade [7337] 0

4. Net equity (deficit) (add lines 1. 2. and 3.) [7345] 33,477,735

5. Account liquidating to a deficit and account with a debit balances - gross amount [7351] 5,650
   Less: amount offset by customer owned securities [7352] 0
   5,650

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) [7355] 33,483,385

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. [7360] 33,483,385

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States [7500] 12,403,379
   B. Other banks qualified under Regulation 30.7 [7520] 3,545,082

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash [7580] 8,494,906
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts [7610] 0
   E. Value of short option contracts [7615] 8,494,906

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash [7620] 13,530,873
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation [7660] 0
   D. Value of long option contracts [7670] 0
   E. Value of short option contracts [7675] 13,530,873

5. Amounts held by members of foreign boards of trade
   A. Cash [7700] 601,221
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts [7735] 601,221

6. Amounts with other depositories designated by a foreign board of trade [7740] 0

7. Segregated funds on hand [7765] 0

8. Total funds in separate section 30.7 accounts [7770] 38,575,461

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) [7780] 5,092,076

10. Management Target Amount for Excess funds in separate section 30.7 accounts [7785] 1,000,000

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target [7785] 4,092,076
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,572,882,159 [7010]
   - B. Securities (at market): 145,979,796 [7020]
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 39,055,828 [7030]
3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,222,912,110 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,745,296,505 [7033]
4. **Net equity (deficit) (add lines 1, 2 and 3)**: 2,235,533,388 [7040]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 232,512 [7045]
   - Less: amount offset by customer securities: -130,630 [7047] 101,882 [7050]
6. **Amount required to be segregated (add lines 4 and 5)**: 2,235,635,270 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 543,537,492 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 434,523 [7090]
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 623,102,008 [7100]
   - B. Securities representing investments of customers' funds (at market): 524,971,114 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 141,474,111 [7120]
9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -49,719,853 [7130]
10. **Exchange traded options**
    - A. Value of open long option contracts: 2,222,912,110 [7132]
    - B. Value of open short option contracts: -1,745,296,505 [7133]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]
12. **Segregated funds on hand**: 4,071,162 [7150]
13. **Total amount in segregation (add lines 7 through 12)**: 2,265,486,162 [7180]
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 29,850,892 [7190]
15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 10,850,892 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash  \[0 \text{ [8500]}\]
   B. Securities (at market)  \[0 \text{ [8510]}\]

2. Net unrealized profit (loss) in open cleared swaps  \[0 \text{ [8520]}\]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  \[0 \text{ [8530]}\]
   B. Market value of open cleared swaps option contracts granted (sold)  \[0 \text{ [8540]}\]

4. Net Equity (deficit) (add lines 1, 2, and 3)  \[0 \text{ [8550]}\]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  \[0 \text{ [8560]}\]
   Less: amount offset by customer owned securities  \[0 \text{ [8570] 0 [8580]}\]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  \[0 \text{ [8590]}\]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash  \[0 \text{ [8600]}\]
   B. Securities representing investments of cleared swaps customers' funds (at market)  \[0 \text{ [8610]}\]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  \[0 \text{ [8620]}\]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash  \[0 \text{ [8630]}\]
   B. Securities representing investments of cleared swaps customers' funds (at market)  \[0 \text{ [8640]}\]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  \[0 \text{ [8650]}\]

9. Net settlement from (to) derivatives clearing organizations  \[0 \text{ [8660]}\]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  \[0 \text{ [8670]}\]
    B. Value of open cleared swaps short option contracts  \[0 \text{ [8680]}\]

11. Net equities with other FCMs
    A. Net liquidating equity  \[0 \text{ [8690]}\]
    B. Securities representing investments of cleared swaps customers' funds (at market)  \[0 \text{ [8700]}\]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  \[0 \text{ [8710]}\]

12. Cleared swaps customer funds on hand
    A. Cash  \[0 \]
    B. Securities representing investments of cleared swaps customers' funds (at market)  \[0 \]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  \[0 \text{ [8715]}\]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  \[0 \text{ [8720]}\]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  \[0 \text{ [8730]}\]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  \[0 \text{ [8760]}\]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management