INITIAL
End Date: 10/1/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/4/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 32,276,145 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -1,858,109 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 30,418,036 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,701 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 7,701 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 30,425,737 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 30,425,737 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 8,723,965 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,145,199 [7520] 12,869,164 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,608,882 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,608,882 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 13,338,993 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 13,338,993 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 2,458,981 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 2,458,981 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 35,276,020 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,850,283 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,850,283 [7785]
SEgregation Requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,424,100,268 [7010]
   B. Securities (at market) 102,786,012 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 3,014,459 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,045,707,738 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,072,680,186 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,502,928,291 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,217,338 [7045]
   Less: amount offset by customer securities -983,616 [7047] 233,722 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,503,162,013 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 670,878,562 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 456,466 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 249,503,436 [7100]
   B. Securities representing investments of customers’ funds (at market) 499,975,182 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 98,509,921 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 36,905,288 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,045,707,738 [7132]
    B. Value of open short option contracts -2,072,680,186 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,819,625 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,533,076,032 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 29,914,019 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 10,914,019 [7198]
<table>
<thead>
<tr>
<th>Cleared Swaps Customer Requirements</th>
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<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities (at market)</td>
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<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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<td>3. Cleared swaps options</td>
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<td>A. Market value of open cleared swaps option contracts purchased</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8570] 0 [8580]</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
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<tr>
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<td>B. Value of open cleared swaps short option contracts</td>
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<tr>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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