INITIAL
End Date: 10/14/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/15/2021
WEDBUSH SECURITIES INC

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 31,389,713 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,259,445 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3) 33,649,158 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,512 [7351]
   Less: amount offset by customer owned securities 0 [7352] 6,512 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 33,655,670 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 33,655,670 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 11,099,173 [7500]
   - B. Other banks qualified under Regulation 30.7 1,572,031 [7520] 12,671,204 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 8,790,419 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 8,790,419 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 12,947,859 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 12,947,859 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 4,268,350 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 4,268,350 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 38,677,832 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,022,162 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,022,162 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**
     - 1,267,123,369 [7010]
   - **Securities (at market)**
     - 104,293,906 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 44,294,371 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 3,368,666,115 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -2,357,805,507 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 2,426,572,254 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 297,659 [7045]
   - Less: amount offset by customer securities
     - -182,818 [7047] 114,841 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 2,426,687,095 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**
     - 555,613,816 [7070]
   - **Securities representing investments of customers’ funds (at market)**
     - 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 478,101 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 254,141,943 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 524,954,145 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 99,743,637 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 5,102,685 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 3,368,666,115 [7132]
    - **B. Value of open short option contracts**
      - -2,357,805,507 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 4,072,168 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 2,454,967,193 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,280,008 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess**
    - 9,280,008 [7198]
<table>
<thead>
<tr>
<th>1. Net ledger balance</th>
<th>0 [8500]</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td></td>
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<tr>
<td>B. Securities (at market)</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8540]</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>Less: amount offset by customer owned securities</td>
<td>0 [8570] 0 [8580]</td>
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<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<td>B. Value of open cleared swaps short option contracts</td>
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<td>11. Net equities with other FCMs</td>
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<td>A. Net liquidating equity</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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