Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 28,139,531
   - **B. Securities (at market)**: 0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 5,197,851

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 33,337,382

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,559

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 33,343,941

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 33,343,941

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**: 8,923,978
   - **B. Other banks qualified under Regulation 30.7**: 3,753,459

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 8,805,551
   - **B. Securities**: 0
   - **C. Unrealized gain (loss) on open futures contracts**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 12,779,351
   - **B. Securities**: 0
   - **C. Amount due to (from) clearing organization - daily variation**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 3,934,695
   - **B. Securities**: 0
   - **C. Unrealized gain (loss) on open futures contracts**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

6. **Amounts with other depositories designated by a foreign board of trade**: 0

7. **Segregated funds on hand**: 0

8. **Total funds in separate section 30.7 accounts**: 38,197,034

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,853,093

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,853,093
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash
     - 1,155,747,792 [7010]
   - B. Securities (at market)
     - 106,303,608 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - 150,724,546 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market
     - 3,368,947,886 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - 2,370,951,149 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - 2,410,772,683 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - 2,326,706 [7045]
   - Less: amount offset by customer securities
     - -2,210,866 [7047] 115,840 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - 2,410,888,523 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - A. Cash
     - 535,712,316 [7070]
   - B. Securities representing investments of customers' funds (at market)
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 505,695 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash
     - 284,277,664 [7100]
   - B. Securities representing investments of customers' funds (at market)
     - 524,954,482 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 101,643,637 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - -8,484,959 [7130]

10. Exchange traded options
    - A. Value of open long option contracts
        - 3,368,947,886 [7132]
    - B. Value of open short option contracts
        - -2,370,951,149 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity
        - 0 [7140]
    - B. Securities representing investments of customers' funds (at market)
        - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
        - 0 [7170]

12. Segregated funds on hand
    - 4,154,276 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - 2,440,759,848 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 29,871,325 [7190]

15. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
      - 10,871,325 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570] 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]