INITIAL
End Date: 11/2/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/3/2021
INITIAL
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: $29,937,664 [7315]
   - B. Securities (at market): $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: $3,900,324 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: $86,618 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: $0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): $33,924,606 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: $6,275 [7351]
   - Less: amount offset by customer owned securities: $0 [7352] $6,275 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): $33,930,881 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: $33,930,881 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: $7,757,262 [7500]
   - B. Other banks qualified under Regulation 30.7: $5,324,230 [7520] $13,081,492 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: $0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560] $0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: $7,522,118 [7580]
   - B. Securities: $0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7600]
   - D. Value of long option contracts: $0 [7610]
   - E. Value of short option contracts: $0 [7615] $7,522,118 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: $13,209,634 [7640]
   - B. Securities: $0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: $0 [7660]
   - D. Value of long option contracts: $0 [7670]
   - E. Value of short option contracts: $0 [7675] $13,209,634 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: $5,236,413 [7700]
   - B. Securities: $0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7720]
   - D. Value of long option contracts: $86,618 [7730]
   - E. Value of short option contracts: $0 [7735] $5,323,031 [7740]

6. Amounts with other depositories designated by a foreign board of trade: $0 [7760]

7. Segregated funds on hand: $0 [7765]

8. Total funds in separate section 30.7 accounts: $39,136,275 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): $5,205,394 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: $1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: $4,205,394 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: \[1,215,606,677\] [7010]
   - B. Securities (at market): \[108,908,557\] [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \[123,337,626\] [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \[3,329,436,351\] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \[-2,483,094,888\] [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \[2,294,194,323\] [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: \[-3,320,820\] [7047]
   - \[139,005\] [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \[2,294,333,328\] [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - A. Cash: \[634,238,882\] [7070]
     - B. Securities representing investments of customers' funds (at market): \[0\] [7080]
     - C. Securities held for particular customers or option customers in lieu of cash (at market): \[505,335\] [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \[166,551,901\] [7100]
   - B. Securities representing investments of customers' funds (at market): \[574,952,460\] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[104,250,670\] [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \[-4,708,347\] [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: \[3,329,436,351\] [7132]
    - B. Value of open short option contracts: \[-2,483,094,888\] [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \[0\] [7140]
    - B. Securities representing investments of customers' funds (at market): \[0\] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \[0\] [7170]

12. **Segregated funds on hand**
    - \[4,152,552\] [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \[2,326,284,916\] [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \[31,951,588\] [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \[19,000,000\] [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: \[12,951,588\] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]