INITIAL
End Date: 11/11/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/12/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 27,707,977 [7315]
   - **Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 2,827,124 [7325]

3. **Exchange traded options**
   - Market value of open option contracts purchased on a foreign board of trade: 54,915 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade: -83,602 [7337]

4. **Net equity (deficit)** (add lines 1, 2 and 3): 30,506,414 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 13,399 [7351]

   - Less: amount offset by customer owned securities: 0 [7352] 13,399 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method** (add lines 4 and 5): 30,519,813 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6**: 30,519,813 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - Banks located in the United States: 9,566,763 [7500]
   - Other banks qualified under Regulation 30.7: 4,115,762 [7520] 13,682,525 [7530]

2. **Securities**
   - In safekeeping with banks located in the United States: 0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - Cash: 7,498,244 [7580]
   - Securities: 0 [7590]
   - Unrealized gain (loss) on open futures contracts: 0 [7600]
   - Value of long option contracts: 0 [7610]
   - Value of short option contracts: 0 [7615] 7,498,244 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - Cash: 13,025,516 [7640]
   - Securities: 0 [7650]
   - Amount due to (from) clearing organization - daily variation: 0 [7660]
   - Value of long option contracts: 0 [7670]
   - Value of short option contracts: 0 [7675] 13,025,516 [7680]

5. **Amounts held by members of foreign boards of trade**
   - Cash: 1,021,102 [7700]
   - Securities: 0 [7710]
   - Unrealized gain (loss) on open futures contracts: 0 [7720]
   - Value of long option contracts: 54,915 [7730]
   - Value of short option contracts: -83,602 [7735] 992,415 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 35,198,700 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount** (subtract line 7 Secured Statement Page 1 from Line 8): 4,678,887 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,678,887 [7785]
INITIAL
End Date: 11/11/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/12/2021

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,098,063,737 [7010]
   B. Securities (at market) 108,679,128 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 171,035,247 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,268,779,088 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,344,488,826 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,302,068,374 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,813,196 [7045]
   Less: amount offset by customer securities -2,533,337 [7047] 279,859 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,302,348,233 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 498,103,170 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 511,231 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 232,113,041 [7100]
   B. Securities representing investments of customers' funds (at market) 574,951,268 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 103,850,671 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -8,444,457 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,268,779,088 [7132]
    B. Value of open short option contracts -2,344,488,826 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,317,226 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,329,692,412 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,344,179 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,344,179 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with declar balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 
