INITIAL
End Date: 11/19/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/22/2021
Firm Name: WEDBUSH SECURITIES INC
Submit Date: 11/22/2021

Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 28,014,966 [7315]
   - **Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 2,382,390 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 36,903 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -88,574 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 30,345,685 [7347]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,516 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 7,516 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 30,353,201 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 30,353,201 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 4,807,875 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,070,378 [7520] 8,878,253 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 7,229,453 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 7,229,453 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 12,974,762 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 12,974,762 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 7,938,977 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 36,903 [7730]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]
7. **Segregated funds on hand**: 0 [7765]
8. **Total funds in separate section 30.7 accounts**: 36,969,774 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 6,616,573 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]
11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 5,616,573 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,249,933,868 [7010]
   B. Securities (at market) 164,473,942 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   -14,900,451 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,830,121,308 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,899,499,563 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,330,129,104 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 5,937,284 [7045]
   Less: amount offset by customer securities -5,626,583 [7047] 310,701 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,330,439,805 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 497,701,743 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 515,892 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 215,140,982 [7100]
   B. Securities representing investments of customers' funds (at market) 599,946,034 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 159,662,206 [7120]


10. Exchange traded options
    A. Value of open long option contracts 2,830,121,308 [7132]
    B. Value of open short option contracts -1,899,499,563 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,295,844 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,361,615,504 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 31,175,699 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 12,175,699 [7198]

Excess
### Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - Deposited in cleared swaps customer segregated accounts at banks
     - A. Cash: 0 [8600]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

7. Funds in Cleared Swaps Customer Segregated Accounts
   - Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
     - A. Cash: 0 [8630]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

8. Net settlement from (to) derivatives clearing organizations: 0 [8660]

9. Cleared swaps options
   - A. Value of open cleared swaps long option contracts: 0 [8670]
   - B. Value of open cleared swaps short option contracts: 0 [8680]

10. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

11. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

12. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

13. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

14. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

15. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]