INITIAL
End Date: 11/29/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/30/2021
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: 19,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: 1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 26,972,460 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,192,973 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade** 27,753 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade** -90,778 [7337]

4. **Net equity deficit (add lines 1. 2. and 3.)** 28,102,408 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 232,207 [7351]
   - **Less: amount offset by customer owned securities** 0 [7352] 232,207 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 28,334,615 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 28,334,615 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States** 8,939,808 [7500]
   - **B. Other banks qualified under Regulation 30.7** 4,348,771 [7520] 13,288,579 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash** 6,501,137 [7580]
   - **B. Securities** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   - **D. Value of long option contracts** 0 [7610]
   - **E. Value of short option contracts** 0 [7615] 6,501,137 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash** 13,439,759 [7640]
   - **B. Securities** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   - **D. Value of long option contracts** 0 [7670]
   - **E. Value of short option contracts** 0 [7675] 13,439,759 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash** 319,758 [7700]
   - **B. Securities** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   - **D. Value of long option contracts** 27,753 [7730]
   - **E. Value of short option contracts** -90,778 [7735] 256,733 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 33,486,208 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 5,151,593 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 4,151,593 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
   - **1,464,712,636** [7010]
   - **B. Securities (at market)**
   - **163,612,179** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-24,532,507** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
   - **2,667,589,217** [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
   - **-1,814,767,323** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **2,456,614,202** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **5,348,097** [7045]
   - **Less: amount offset by customer securities**
   - **-5,197,970** [7047]
   - **150,127** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **2,456,764,329** [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
   - **425,787,905** [7070]
   - **B. Securities representing investments of customers' funds (at market)**
   - **0** [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   - **507,827** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
   - **334,583,734** [7100]
   - **B. Securities representing investments of customers' funds (at market)**
   - **674,954,499** [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   - **159,062,206** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **40,057,027** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
    - **2,667,589,217** [7132]
    - **B. Value of open short option contracts**
    - **-1,814,767,323** [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **0** [7140]
    - **B. Securities representing investments of customers' funds (at market)**
    - **0** [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
    - **0** [7170]

12. **Segregated funds on hand**
    - **4,042,146** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **2,491,817,238** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **35,052,909** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
    - **16,052,909** [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8715]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8720]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**