

OMB Approval

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This report is being filed by a/an:

1) Broker-dealer not registered as an SBSD or MSBSP (stand-alone broker-dealer) 12000
 2) Broker-dealer registered as an SBSD (broker-dealer SBSD) 12001
 3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP) 12002
 4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD) 12003
 5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP) 12004
 Check here if respondent is an OTC derivatives dealer 12005

This report is being filed by a: Firm authorized to use models 12006 U.S. person 12007 Non-U.S. person 12008

This report is being filed pursuant to (check applicable block(s)):

1) Rule 17a-5(a) 16
 2) Rule 17a-5(b) 17
 3) Special request by DEA or the Commission 19
 4) Rule 18a-7 12999
 5) Other (explain: _____) 26

NAME OF REPORTING ENTITY	SEC FILE NO.
WEBBUSH SECURITIES INC.	8-12987
ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)	14
1000 WILSHIRE BLVD. SUITE 900, ATTN: COMPLIANCE (No. and Street)	877
LOS ANGELES (City)	10/01/2021
CA (State/Province)	12/31/2021
90017-2457 (Zip Code)	15
United States (Country)	24
	25

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT EMAIL ADDRESS (AREA CODE) TELEPHONE NO.
 DANIEL BILLINGS, CFO 30 DAN.BILLINGS@WEBBUSH.COM 12010 (213) 688-8000 31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT OFFICIAL USE

<input type="checkbox"/> 32	<input type="checkbox"/> 33
<input type="checkbox"/> 34	<input type="checkbox"/> 35
<input type="checkbox"/> 36	<input type="checkbox"/> 37
<input type="checkbox"/> 38	<input type="checkbox"/> 39

Is this report consolidated or unconsolidated? Consolidated 198 Unconsolidated 199

Does respondent carry its own customer or security-based swap customer accounts? Yes 40 No 41

Check here if respondent is filing an audited report 42

EXECUTION: The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the 24th day of November, 2 021.

Signatures of:

1) Principal Executive Officer or Comparable Officer 12011
 2) Principal Financial Officer or Comparable Officer 12012
 3) Principal Operations Officer or Comparable Officer 12013

Names of:
 GARY WEBBUSH
 Principal Executive Officer or Comparable Officer
 DANIEL BILLINGS
 Principal Financial Officer or Comparable Officer
 Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

Name of Firm: WEBBUSH SECURITIES INC.

Persons who are to respond to the collection of information contained in this form are not

As of: 10/31/2021

required to respond unless the form displays a currently valid OMB control number

FOCUS
Report
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

ASSETS

Assets

	<u>Allowable</u>	<u>Non-Allowable</u>	<u>Total</u>
1. Cash	\$ 23,651,386	200	\$ 23,651,386
2. Cash segregated in compliance with federal and other regulations	\$ 2,099,263,835	210	\$ 2,099,263,835
3. Receivables from brokers/dealers and clearing organizations organizations			
A. Failed to deliver			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	\$ 10,464,390	220	
2. Other.....	\$ 64,033,652	230	\$ 74,498,042
B. Securities borrowed			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	\$ 389,419,230	240	
2. Other.....	\$ 2,297,106,895	250	\$ 2,686,526,125
C. Omnibus accounts			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	\$ 260		
2. Other.....	\$ 11,291,225	270	\$ 11,291,225
D. Clearing organizations			
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA ..	\$ 23,449,257	280	
2. Other.....	\$ 469,314,915	290	\$ 492,764,172
E. Other.....	\$ 300	\$ 644,516	\$ 644,516
4. Receivables from customers			
A. Securities accounts			
1. Cash and fully secured accounts	\$ 824,378,176	310	
2. Partly secured accounts.....	\$ 5,378,995	320	\$ 52,260
3. Unsecured accounts			\$ 4,559,812
B. Commodity accounts.....	\$ 40,110,619	330	\$ 131,287
C. Allowance for doubtful accounts.....	\$(335)	\$ 1,574,927	\$ 590
			\$ 873,036,222
5. Receivables from non-customers			
A. Cash and fully secured accounts.....	\$ 220,689,723	340	
B. Partly secured and unsecured accounts.....	\$ 350	\$ 55,093	\$ 220,744,816
6. Excess cash collateral pledged on derivative transactions	\$ 12015	\$ 12016	\$ 12017
7. Securities purchased under agreements to resell	\$ 634,374,072	360	\$ 605
8. Trade date receivable	\$ 5,521,814	292	\$ 5,521,814
9. Total net securities, commodities, and swaps positions	\$ 677,597,879	12019	\$ 18,014,851
10. Securities borrowed under subordination			
agreements and partners' individual and capital securities accounts, at market value			
A. Exempted securities \$ 150			
B. Other \$ 160	\$ 460	\$ 630	\$ 880
11. Secured demand notes – market value of collateral			
A. Exempted securities \$ 170			
B. Other \$ 180	\$ 470	\$ 640	\$ 890

Name of Firm: WEBBUSH SECURITIES INC.

As of: 10/31/2021

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Items on this page to be reported by a:

Stand-Alone Broker-Dealer
 Stand-Alone SBSD
 Broker-Dealer SBSD
 Stand-Alone MSBSP
 Broker-Dealer MSBSP

<u>Assets</u>	<u>Allowable</u>	<u>Non-Allowable</u>	<u>Total</u>
12. Memberships in exchanges:			
A. Owned, at market value\$ _____ [190]			
B. Owned at cost	\$ _____ 7,449,670 [650]		
C. Contributed for use of company, at market value	\$ _____ 660	\$ _____ 7,449,670 [900]	
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	\$ _____ 480	\$ _____ 6,743,526 [670]	\$ _____ 6,743,526 [910]
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements			
At cost (net of accumulated depreciation and amortization).	\$ _____ 32,594,530 [490]	\$ _____ 711,284 [680]	\$ _____ 33,305,814 [920]
15. Other assets			
A. Dividends and interest receivable.....	\$ _____ 11,372,641 [500]	\$ _____ 859,989 [690]	
B. Free shipments	\$ _____ 510	\$ _____ 700	
C. Loans and advances.....	\$ _____ 520	\$ _____ 710	
D. Miscellaneous.....	\$ _____ 5,433,267 [530]	\$ _____ 45,805,134 [720]	
E. Collateral accepted under ASC 860	\$ _____ 536		
F. SPE Assets.....	\$ _____ 537		\$ _____ 63,471,031 [930]
16. TOTAL ASSETS	\$ _____ 7,845,446,501 [540]	\$ _____ 83,452,495 [740]	\$ _____ 7,928,898,996 [940]

Note: Stand-alone MSBSPs should only complete the Allowable and Total columns.

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Report
Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

LIABILITIES AND OWNERSHIP EQUITY

Liabilities

17. Bank loans payable:

A. Includible in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a, or the CEA

B. Other

A.I. Liabilities

Non-A.I. Liabilities

Total

\$ _____	1030	\$ _____	1240	\$ _____	1460
\$ _____	1040	\$ _____	1250	\$ 160,000,000	1470

18. Securities sold under repurchase agreements.

19. Payable to brokers/dealers and clearing organizations

A. Failed to receive:

1. Includible in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a

2. Other

A.I. Liabilities

\$ _____	1050	\$ _____	1270	\$ 8,405,925	1490
\$ _____	1060	\$ _____	1280	\$ 57,160,981	1500

B. Securities loaned

1. Includible in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a

2. Other

A.I. Liabilities

\$ _____	1070	\$ _____	1290	\$ 228,619,333	1510
\$ _____	1080	\$ _____	1290	\$ 2,219,683,723	1520

C. Omnibus accounts

1. Includible in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a

2. Other

A.I. Liabilities

\$ _____	1090	\$ _____	1300	\$ _____	1530
\$ _____	1095	\$ _____	1300	\$ _____	1540

D. Clearing organizations

1. Includible in segregation requirement under

17 CFR 240.15c3-3 and its appendices or

17 CFR 240.18a-4 and 18a-4a, or the CEA.

2. Other

A.I. Liabilities

\$ _____	1100	\$ _____	1310	\$ 4,423,055	1550
\$ _____	1105	\$ _____	1310	\$ 7,453,443	1560

E. Other

A.I. Liabilities

\$ _____	1110	\$ _____	1320	\$ 1,311,488	1570
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20. Payable to customers:

A. Securities accounts - including free credits

of \$ 2,069,716,267 950 \$ _____ 1120 \$ _____ 2,402,870,339 1580

B. Commodities accounts \$ _____ 1130 \$ _____ 1330 \$ 1,466,913,167 1590

21. Payable to non customers:

A. Securities accounts \$ _____ 1140 \$ _____ 1340 \$ 270,044,702 1600

B. Commodities accounts \$ _____ 1150 \$ _____ 1350 \$ 66,697 1610

22. Excess cash collateral received on derivative

transactions \$ _____ 12025 \$ _____ 12026 \$ _____ 12027

23. Trade date payable \$ _____ 12031 \$ _____ 12037 \$ _____ 1562

24. Total net securities, commodities, and swaps positions \$ _____ 12032 \$ _____ 12038 \$ 9,730,543 12044

25. Accounts payable and accrued liabilities and expenses

A. Drafts payable \$ _____ 1160 \$ _____ 9,054,801 1630

B. Accounts payable \$ _____ 1170 \$ _____ 40,183,004 1640

C. Income taxes payable \$ _____ 1180 \$ _____ 412,998 1650

D. Deferred income taxes \$ _____ 1370 \$ _____ 1660

E. Accrued expenses and other liabilities \$ _____ 1190 \$ _____ 84,091,855 1670

F. Other \$ _____ 1200 \$ _____ 1380 \$ 37,720,039 1680

G. Obligation to return securities \$ _____ 12033 \$ _____ 1386 \$ _____ 1686

H. SPE Liabilities \$ _____ 12045 \$ _____ 1387 \$ _____ 1687

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 Stand-Alone MSBSP
 Broker-Dealer MSBSP

26. Notes and mortgages payable

A. Unsecured	\$ _____	1210	\$ _____	1690		
B. Secured	\$ _____	1211	\$ _____	1390	\$ _____	1700

Liabilities

27. Liabilities subordinated to claims of

		<u>A.I. Liabilities</u>	<u>Non-A.I. Liabilities</u>	<u>Total</u>		
A. Cash borrowings	\$ _____	1400	\$ _____	25,000,000	1710	
1. From outsiders \$ _____	970					
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of	\$ _____	980				
B. Securities borrowings, at market value	\$ _____	1410	\$ _____	1720		
1. From outsiders \$ _____	990					
C. Pursuant to secured demand note collateral agreements	\$ _____	1420	\$ _____	1730		
1. From outsiders \$ _____	1000					
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of	\$ _____	1010				
D. Exchange memberships contributed for use of company, at market value	\$ _____	1430	\$ _____	1740		
E. Accounts and other borrowings not qualified for net capital purposes	\$ _____	1220	\$ _____	1440	\$ _____	1750
28. TOTAL LIABILITIES	\$ _____	1230	\$ _____	1450	\$ 7,628,167,049	1760

Ownership Equity

29. Sole proprietorship	\$ _____		\$ _____	1770
30. Partnership and limited liability company – including limited partners/members	\$ _____	1020	\$ _____	1780
31. Corporation				
A. Preferred stock	\$ _____	1791		
B. Common stock	\$ _____	700,000	1792	
C. Additional paid in capital	\$ _____	14,701,009	1793	
D. Retained Earnings	\$ _____	285,330,938	1794	
E. Accumulated other comprehensive income	\$ _____	1797		
F. Total			\$ 300,731,947	1795
G. Less capital stock in treasury			\$ (_____)	1796
32. TOTAL OWNERSHIP EQUITY (sum of Line Items 1770, 1780, 1795, and 1796)	\$ 300,731,947		1800	
33. TOTAL LIABILITIES AND OWNERSHIP EQUITY (sum of Line Items 1760 and 1800)	\$ 7,928,898,996		1810	

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)
 Stand-Alone SBSD (Not Authorized to use models)
 Broker-Dealer SBSD (Not Authorized to use models)
 Broker-Dealer MSBSP (Not Authorized to use models)

Computation of Net Capital

1. Total ownership equity from Item 1800	\$ 300,731,947	3480
2. Deduct ownership equity not allowable for net capital	\$ ()	3490
3. Total ownership equity qualified for net capital	\$ 300,731,947	3500
4. Add:		
A. Liabilities subordinated to claims of creditors allowable in computation of net capital	\$ 25,000,000	3520
B. Other (deductions) or allowable credits (list)	\$	3525
5. Total capital and allowable subordinated liabilities	\$ 325,731,947	3530
6. Deductions and/or charges		
A. Total nonallowable assets from Statement of Financial Condition	\$ 83,452,495	3540
1. Additional charges for customers' and non-customers' security accounts \$ 208,975		3550
2. Additional charges for customers' and non-customers' commodity accounts	\$	3560
3. Additional charges for customers' and non-customers' security-based swap accounts	\$	12051
4. Additional charges for customers' and non-customers' swap accounts \$		12052
B. Aged fail-to-deliver	\$ 2,645,768	3570
1. number of items	398	3450
C. Aged short security differences-less		
reserve of	\$ 3460	3580
number of items	3470	
D. Secured demand note deficiency	\$	3590
E. Commodity futures contracts and spot commodities -	\$	
proprietary capital charges	78,400	3600
F. Other deductions and/or charges	\$ 31,746,762	3610
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) \$		3615
H. Total deductions and/or charges	\$ (118,132,400)	3620
7. Other additions and/or allowable credits (list)	\$	3630
8. Tentative net capital (net capital before haircuts)	\$ 207,599,547	3640
9. Haircuts on securities other than security-based swaps		
A. Contractual securities commitments	\$	3660
B. Subordinated securities borrowings	\$	3670
C. Trading and investment securities	\$	
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments	\$	3680
2. U.S. and Canadian government obligations	\$ 1,238,126	3690
3. State and municipal government obligations	\$ 2,285,484	3700
4. Corporate obligations	\$ 1,670	3710
5. Stocks and warrants	\$ 2,409,328	3720
6. Options	\$ 489,059	3730
7. Arbitrage	\$	3732
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a	\$	12028
9. Other securities	\$	3734
D. Undue concentration	\$	3650
E. Other (List:	\$ 252,080	3736
10. Haircuts on security-based swaps	\$	12053
11. Haircuts on swaps	\$	12054
12. Total haircuts (sum of Lines 9A-9E, 10, and 11)	\$ (6,675,747)	3740
13. Net capital (Line 8 minus Line 12)	\$ 200,923,800	3750

Name of Firm: WEBBUSH SECURITIES INC.As of: 10/31/2021

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	\$ 207,599,547	3640
2. Minimum tentative net capital requirement	\$ _____	12055
3. Excess tentative net capital (difference between Lines 1 and 2)	\$ 207,599,547	12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	\$ _____	0 12057

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement		
A. 62/3% of total aggregate indebtedness (Line Item 3840)	\$ _____	3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	\$ 69,908,022	3870
i. Minimum CFTC net capital requirement (if applicable)	\$ 69,908,022	7490
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)	\$ _____	12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)	\$ _____	12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)	\$ 69,908,022	12060
6. Fixed-dollar minimum net capital requirement	\$ 1,000,000	3880
7. Minimum net capital requirement (greater of Lines 5E and 6)	\$ 69,908,022	3760
8. Excess net capital (Item 3750 minus Item 3760)	\$ 131,015,778	3910
9. Net capital and tentative net capital in relation to early warning thresholds		
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	\$ 117,034,174	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3.	\$ 133,264,078	3920

Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	\$ _____	3790
11. Add		
A. Drafts for immediate credit	\$ _____	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$ _____	3810
C. Other unrecorded amounts (list)	\$ _____	3820
D. Total additions (sum of Line Items 3800, 3810, and 3820)	\$ _____	3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	\$ _____	3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)	\$ _____	3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)	% _____	3850
15. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880)	% _____	3853

Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	% _____	14.85	3851
17. Percentage of net capital, <u>after</u> anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)	% _____	14.85	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	% _____	7.68	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	% _____		3852

Items on this page to be reported by: Futures Commission Merchant

NET CAPITAL REQUIRED

A. Risk-Based Requirement

i. Amount of Customer Risk

Maintenance Margin \$ 873,241,853 7415ii. Enter 8% of line A.i \$ 69,859,348 7425

iii. Amount of Non-Customer Risk

Maintenance Margin \$ 608,422 7435iv. Enter 8% of line A.iii \$ 48,674 7445v. Amount of uncleared swap margin \$ 7446vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v \$ 7447vii. Enter the sum of Lines A.ii, A.iv and A.vi. \$ 69,908,022 7455B. Minimum Dollar Amount Requirement \$ 1,000,000 7465C. Other NFA Requirement \$ 7475

D. Minimum CFTC Net Capital Requirement.

Enter the greatest of lines A.v., B or C \$ 69,908,022 7490

Note: If amount on Line D is greater than the minimum net capital requirement computed on Item 3760, then enter this greater amount on Item 3760.

The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level – enter the greatest of 110% of Line A.v. or 150% of Line B or 150% of Line C or \$375,000 · · · \$ 76,898,824 7495

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance	
A. Cash	\$ 1,246,984,831 7010
B. Securities (at market)	\$ 104,711,450 7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market	\$ 145,679,718 7030
3. Exchange traded options	
A. Add market value of open option contracts purchased on a contract market	\$ 3,334,721,447 7032
B. Deduct market value of open option contracts granted (sold) on a contract market	\$ (2,461,982,696) 7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)	\$ 2,370,114,750 7040
5. Accounts liquidating to a deficit and accounts with debit balances	
- gross amount	\$ 4,024,056 7045
Less: amount offset by customer owned securities	\$ (3,879,337) 7047 \$ 144,719 7050
6. Amount required to be segregated (add lines 4 and 5)	\$ 2,370,259,469 7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts	
A. Cash	\$ 611,531,153 7070
B. Securities representing investments of customers' funds (at market)	\$ 7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 631,669 7090
8. Margins on deposit with derivatives clearing organizations of contract markets	
A. Cash	\$ 186,103,732 7100
B. Securities representing investments of customers' funds (at market)	\$ 574,934,634 7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 99,532,879 7120
9. Net settlement from (to) derivatives clearing organizations of contract markets	\$ 51,105,260 7130
10. Exchange traded options	
A. Value of open long option contracts	\$ 3,334,721,447 7132
B. Value of open short option contracts	\$ (2,461,982,696) 7133
11. Net equities with other FCMs	
A. Net liquidating equity	\$ 7140
B. Securities representing investments of customers' funds (at market)	\$ 7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$ 7170
12. Segregated funds on hand (describe: _____)	\$ 4,546,902 7150
13. Total amount in segregation (add lines 7 through 12)	\$ 2,401,124,980 7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 30,865,511 7190
15. Management Target Amount for Excess funds in segregation	\$ 19,000,000 7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$ 11,865,511 7198

Items on this page to be reported by: Futures Commission Merchant

CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance	
A. Cash	\$ 8500
B. Securities (at market)	\$ 8510
2. Net unrealized profit (loss) in open cleared swaps	\$ 8520
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	\$ 8530
B. Market value of open cleared swaps option contracts granted (sold)	\$ (8540)
4. Net equity (deficit) (add lines 1, 2 and 3)	\$ 8550
5. Accounts liquidating to a deficit and accounts with debit balances	
- gross amount	\$ 8560
Less: amount offset by customer owned securities	\$ (8570) \$ 8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)	\$ 8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	\$ 8600
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	\$ 8630
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8650
9. Net settlement from (to) derivatives clearing organizations	\$ 8660
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	\$ 8670
B. Value of open cleared swaps short option contracts	\$ (8680)
11. Net equities with other FCMs	
A. Net liquidating equity	\$ 8690
B. Securities representing investment of cleared swaps customers' funds (at market)	\$ 8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$ 8710
12. Cleared swaps customer funds on hand (describe: _____)	\$ 8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	\$ 8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	\$ 8730
15. Management target amount for excess funds in cleared swaps segregated accounts	\$ 8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$ 8770

Items on this page to be reported by a: Futures Commission Merchant

1. Amount required to be segregated in accordance with 17 CFR 32.6 \$ 7200
2. Funds/property in segregated accounts
 - A. Cash \$ 7210
 - B. Securities (at market value) \$ 7220
 - C. Total funds/property in segregated accounts \$ 7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1) \$ 7240

Name of Firm: WEBBUSH SECURITIES INC.

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Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government
or a rule of a self-regulatory organization authorized thereunder \$ 7305

1. Net ledger balance - Foreign futures and foreign option trading - All Customers
A. Cash \$ 31,064,824 7315
B. Securities (at market) \$ 7317

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade \$ 2,946,538 7325

3. Exchange traded options
A. Market value of open option contracts purchased on a foreign board of trade \$ 99,564 7335
B. Market value of open contracts granted (sold) on a foreign board of trade \$ 7337

4. Net equity (deficit)(add lines 1. 2. and 3.) \$ 34,110,926 7345

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount \$ 8,420 7351
Less: amount offset by customer owned securities \$ 7352 \$ 8,420 7354

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) \$ 34,119,346 7355

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. \$ 34,119,346 7360

Name of Firm: WEBBUSH SECURITIES INC.As of: 10/31/2021

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States \$ 10,519,782 7500

B. Other banks qualified under 17 CFR. 30.7

Name(s): 7510 \$ 3,893,398 7520 \$ 14,413,180 7530

2. Securities

A. In safekeeping with banks located in the United States \$ 7540

B. In safekeeping with other banks designated by 17 CFR. 30.7

Name(s): 7550 \$ 7560 \$ 7570

3. Equities with registered futures commission merchants

A. Cash \$ 6,129,371 7580B. Securities \$ 7590C. Unrealized gain (loss) on open futures contracts \$ 1,212,117 7600D. Value of long option contracts \$ 7610E. Value of short option contracts \$ (7615) \$ 7,341,488 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630A. Cash \$ 13,323,134 7640B. Securities \$ 7650C. Amount due to (from) clearing organizations - daily variation \$ (24,449) 7660D. Value of long option contracts \$ 7670E. Value of short option contracts \$ (7675) \$ 13,298,685 7680

5. Amounts held by members of foreign boards of trade

Name(s): 7690A. Cash \$ 5,203,830 7700B. Securities \$ 7710C. Unrealized gain (loss) on open futures contracts \$ (1,268,113) 7720D. Value of long option contracts \$ 99,564 7730E. Value of short option contracts \$ (7735) \$ 4,035,281 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 77507. Segregated funds on hand (describe:) \$ 77658. Total funds in separate 17 CFR 30.7 accounts \$ 39,088,634 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) \$ 4,969,288 738010. Management target amount for excess funds in separate 17 CFR 30.7 accounts \$ 1,000,000 778011. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess \$ 3,969,288 7785Name of Firm: WEBBUSH SECURITIES INC.As of: 10/31/2021