INITIAL
End Date: 12/3/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/6/2021
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>27,743,207 (7315)</td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>27,743,207 (7315)</td>
</tr>
<tr>
<td></td>
<td>B. Securities (at market)</td>
<td>0 (7317)</td>
</tr>
<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>264,060 (7325)</td>
</tr>
<tr>
<td>3</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>25,638 (7335)</td>
</tr>
<tr>
<td></td>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>-73,423 (7337)</td>
</tr>
<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>27,959,462 (7345)</td>
</tr>
<tr>
<td>5</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>3,405 (7351)</td>
</tr>
<tr>
<td></td>
<td>Less: amount offset by customer owned securities</td>
<td>0 (7352) 3,405 (7354)</td>
</tr>
<tr>
<td>6</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>27,962,887 (7355)</td>
</tr>
<tr>
<td>7</td>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>27,962,887 (7360)</td>
</tr>
</tbody>
</table>

**Funds Deposited in Separate Regulation 30.7 Accounts**

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash in banks</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Banks located in the United States</td>
<td>9,050,935 (7500)</td>
</tr>
<tr>
<td></td>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>6,042,680 (7520) 15,093,615 (7530)</td>
</tr>
<tr>
<td>2</td>
<td>Securities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 (7540)</td>
</tr>
<tr>
<td></td>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 (7560) 0 (7570)</td>
</tr>
<tr>
<td>3</td>
<td>Equities with registered futures commission merchants</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>5,952,796 (7580)</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0 (7590)</td>
</tr>
<tr>
<td></td>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 (7600)</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>0 (7610)</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>0 (7615) 5,952,796 (7620)</td>
</tr>
<tr>
<td>4</td>
<td>Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>11,543,355 (7640)</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0 (7650)</td>
</tr>
<tr>
<td></td>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 (7660)</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>0 (7670)</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>0 (7675) 11,543,355 (7680)</td>
</tr>
<tr>
<td>5</td>
<td>Amounts held by members of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td></td>
<td>A. Cash</td>
<td>236,648 (7700)</td>
</tr>
<tr>
<td></td>
<td>B. Securities</td>
<td>0 (7710)</td>
</tr>
<tr>
<td></td>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 (7720)</td>
</tr>
<tr>
<td></td>
<td>D. Value of long option contracts</td>
<td>25,638 (7730)</td>
</tr>
<tr>
<td></td>
<td>E. Value of short option contracts</td>
<td>-71,423 (7735) 188,863 (7740)</td>
</tr>
<tr>
<td>6</td>
<td>Amounts with other depositories designated by a foreign board of trade</td>
<td>0 (7760)</td>
</tr>
<tr>
<td>7</td>
<td>Segregated funds on hand</td>
<td>0 (7765)</td>
</tr>
<tr>
<td>8</td>
<td>Total funds in separate section 30.7 accounts</td>
<td>32,778,629 (7770)</td>
</tr>
<tr>
<td>9</td>
<td>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>4,815,742 (7380)</td>
</tr>
<tr>
<td>10</td>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000 (7780)</td>
</tr>
<tr>
<td>11</td>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>3,815,742 (7785)</td>
</tr>
</tbody>
</table>
INITIAL
End Date: 12/3/2021
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash                  1,443,651,181 [7010]
   B. Securities (at market) 152,263,784 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   -84,638,157 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      2,640,399,975 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -1,843,877,231 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   2,307,799,852 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   7,957,337 [7045]
   Less: amount offset by customer securities
   -7,831,481 [7047]  125,856 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   2,307,925,708 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash                  462,400,834 [7070]
   B. Securities representing investments of customers’ funds (at market)
      0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      500,786 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash                  200,994,229 [7100]
   B. Securities representing investments of customers’ funds (at market)
      724,947,968 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      147,760,930 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   1,376,403 [7130]

10. Exchange traded options
    A. Value of open long option contracts
        2,640,399,975 [7132]
    B. Value of open short option contracts
        -1,843,877,231 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [7140]
    B. Securities representing investments of customers’ funds (at market)
        0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    4,002,068 [7150]

13. Total amount in segregation (add lines 7 through 12)
    2,338,505,962 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    30,580,254 [7190]

15. Management Target Amount for Excess funds in segregation
    19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    11,580,254 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]