INITIAL
End Date: 12/6/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/7/2021
Daily Segregation - Cover Page

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: ; or 19,000,000
- Minimum percentage of customer segregated funds required: % ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: ; or 1,000,000
- Minimum percentage of customer secured funds required: % ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: ; or 0
- Minimum percentage of cleared swaps customer collateral required: % ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 27,207,331 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 258,616 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade** 26,612 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade** -58,455 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 27,434,104 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 5,391 [7351]
   - Less: amount offset by customer owned securities 0 [7352, 5,391 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 27,439,495 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 27,439,495 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States** 7,997,256 [7500]
   - **B. Other banks qualified under Regulation 30.7** 6,609,205 [7520, 14,606,461 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560, 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash** 6,008,167 [7580]
   - **B. Securities** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   - **D. Value of long option contracts** 0 [7610]
   - **E. Value of short option contracts** 0 [7615, 6,008,167 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash** 11,502,394 [7640]
   - **B. Securities** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   - **D. Value of long option contracts** 0 [7670]
   - **E. Value of short option contracts** 0 [7675, 11,502,394 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash** 231,040 [7700]
   - **B. Securities** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   - **D. Value of long option contracts** 26,612 [7730]
   - **E. Value of short option contracts** -58,455 [7735, 199,197 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 32,316,219 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 4,876,724 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 3,876,724 [7785]
Daily Segregation - Segregation Statement

1. Net ledger balance
   A. Cash 1,462,418,061 [7010]
   B. Securities (at market) 153,221,219 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -15,591,704 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,627,006,489 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,865,864,449 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,361,189,616 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 10,780,164 [7045]
   Less: amount offset by customer securities -10,557,378 [7047] 222,786 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,361,412,402 [7060]

7. Deposited in segregated funds bank accounts
   A. Cash 477,534,274 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 511,025 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 240,444,454 [7100]
   B. Securities representing investments of customers’ funds (at market) 724,954,504 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 148,760,930 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 33,670,486 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,627,006,489 [7132]
    B. Value of open short option contracts -1,865,864,449 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,949,264 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,390,966,977 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 29,554,575 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 10,554,575 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]