INITIAL
End Date: 12/7/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/8/2021
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   28,068,971 [7315]  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   1,056,507 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   18,308 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   -75,424 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   29,068,362 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   5,122 [7351]  
   Less: amount offset by customer owned securities  
   0 [7352] 5,122 [7354]  

6. Amount required to be set aside as the secured amount - Net Liquidating Equity  
   Method (add lines 4 and 5)  
   29,073,484 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   29,073,484 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States  
   10,837,963 [7500]  
   B. Other banks qualified under Regulation 30.7  
   5,036,253 [7520] 15,874,216 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   6,341,806 [7580]  
   B. Securities  
   0 [7590]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]  
   D. Value of long option contracts  
   0 [7610]  
   E. Value of short option contracts  
   0 [7615] 6,341,806 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   11,555,972 [7640]  
   B. Securities  
   0 [7650]  
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]  
   D. Value of long option contracts  
   0 [7670]  
   E. Value of short option contracts  
   0 [7675] 11,555,972 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   276,932 [7700]  
   B. Securities  
   0 [7710]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]  
   D. Value of long option contracts  
   18,308 [7730]  
   E. Value of short option contracts  
   -75,424 [7735] 219,816 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]  

7. Segregated funds on hand  
   0 [7765]  

8. Total funds in separate section 30.7 accounts  
   33,991,810 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   4,918,326 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    3,918,326 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $1,493,572,857 [7010]
   - **Securities (at market)**: $161,108,719 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $69,905,717 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $2,683,828,729 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $1,937,109,711 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $2,471,306,311 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $9,821,093 [7045]
   - Less: amount offset by customer securities: -$9,685,269 [7047] **135,824** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $2,471,442,135 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $538,721,893 [7070]
   - **Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $511,025 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $315,207,133 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $724,955,050 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $156,648,430 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $15,236,088 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $2,683,828,729 [7132]
    - **B. Value of open short option contracts**: $1,937,109,711 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: $3,949,264 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $2,501,947,901 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $30,505,766 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $11,505,766 [7198]
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]