INITIAL
End Date: 12/10/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/13/2021
WEDBUSH SECURITIES INC

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
Daily Segregation - Secured Amounts

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 30,394,592 [7315]
   B. Securities (at market) 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 385,097 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 22,666 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -45,578 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 30,756,777 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 5,635 [7351]
   Less: amount offset by customer owned securities 0 [7352]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 30,762,412 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 30,762,412 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 11,459,092 [7500]
   B. Other banks qualified under Regulation 30.7 5,070,904 [7520] 16,529,996 [7530]
2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash 6,231,965 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,231,965 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 11,914,934 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 11,914,934 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash 449,308 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 22,666 [7730]
   E. Value of short option contracts -45,578 [7735] 426,396 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 35,103,291 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,340,879 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,340,879 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - Cash: $1,498,716,581
   - Securities (at market): $166,088,690
   - Total Net Ledger Balance: $1,664,805,271

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - Total Net Unrealized Profit (Loss): $22,633,842

3. **Exchange traded options**
   - Add market value of open option contracts purchased on a contract market: $2,628,425,943
   - Deduct market value of open option contracts granted (sold) on a contract market: $1,807,937,168
   - Total Net Equity (Deficit): $820,488,775

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Total Accounts Liquidating: $11,178,291
   - Less: Amount offset by customer securities: $11,078,131
   - Total Accounts Liquidating: $100,160

5. **FUNDS IN SEGREGATED ACCOUNTS**
   - Deposited in segregated funds bank accounts
     - Cash: $606,630,706
     - Securities representing investments of customers’ funds: $0
     - Securities held for particular customers or option customers in lieu of cash: $517,673
   - Margins on deposit with derivatives clearing organizations of contract markets
     - Cash: $218,770,379
     - Securities representing investments of customers’ funds: $724,961,126
     - Securities held for particular customers or option customers in lieu of cash: $161,636,430
   - Net settlement from (to) derivatives clearing organizations of contract markets: $-744,602
   - Exchange traded options
     - Value of open long option contracts: $2,628,425,943
     - Value of open short option contracts: $1,807,937,168
   - Net equities with other FCMs
     - Net liquidating equity: $0
     - Securities representing investments of customers’ funds: $0
     - Securities held for particular customers or option customers in lieu of cash: $0
   - Segregated funds on hand: $3,934,587
   - Total amount in segregation: $2,536,195,074
   - Excess (deficiency) funds in segregation: $28,167,026
   - Management Target Amount for Excess funds in segregation: $19,000,000
   - Excess (deficiency) funds in segregation over (under) Management Target Amount: $9,167,026
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]