INITIAL
End Date: 12/13/2021
Firm Name: WEGBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/14/2021
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 19,000,000
- b. Minimum percentage of customer segregated funds required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 1,000,000
- b. Minimum percentage of customer secured funds required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 0
- b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 30,406,589 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** -125,531 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade** 19,961 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade** -39,210 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 30,261,809 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 3,865 [7351]
   - **Less: amount offset by customer owned securities** 0 [7352] 3,865 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 30,265,674 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 30,265,674 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States** 9,522,617 [7500]
   - **B. Other banks qualified under Regulation 30.7** 5,117,270 [7520] 14,639,887 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash** 6,200,171 [7580]
   - **B. Securities** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   - **D. Value of long option contracts** 0 [7610]
   - **E. Value of short option contracts** 0 [7615] 6,200,171 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash** 12,068,300 [7640]
   - **B. Securities** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   - **D. Value of long option contracts** 0 [7670]
   - **E. Value of short option contracts** 0 [7675] 12,068,300 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash** 1,728,596 [7700]
   - **B. Securities** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   - **D. Value of long option contracts** 19,961 [7730]
   - **E. Value of short option contracts** -39,210 [7735] 1,709,347 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 34,617,705 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 4,352,031 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 3,352,031 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance
   - A. Cash: $1,334,384,450
   - B. Securities (at market): $164,288,690

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: $-39,051,616

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: $2,737,193,506
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-1,717,262,762

4. Net equity (deficit) (add lines 1, 2 and 3): $2,479,552,268

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $12,318,512
   - Less: amount offset by customer securities: $-12,218,264
   - Less: $100,248

6. Amount required to be segregated (add lines 4 and 5): $2,479,652,516

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: $588,497,381
   - B. Securities representing investments of customers' funds (at market): $0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $517,673

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: $64,318,726
   - B. Securities representing investments of customers' funds (at market): $724,967,681
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $159,836,430

9. Net settlement from (to) derivatives clearing organizations of contract markets: $-58,290,507

10. Exchange traded options
    - A. Value of open long option contracts: $2,737,193,506
    - B. Value of open short option contracts: $-1,717,262,762

11. Net equities with other FCMs
    - A. Net liquidating equity: $0
    - B. Securities representing investments of customers' funds (at market): $0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0

12. Segregated funds on hand: $3,934,587

13. Total amount in segregation (add lines 7 through 12): $2,503,712,715

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): $24,060,199

15. Management Target Amount for Excess funds in segregation: $19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: $5,060,199
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]