Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $19,000,000 or

b. Minimum percentage of customer segregated funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000 or

b. Minimum percentage of customer secured funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0 or

b. Minimum percentage of cleared swaps customer collateral required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**

   A. **Cash**
   
   B. **Securities (at market)**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

3. **Exchange traded options**

   a. Market value of open option contracts purchased on a foreign board of trade
   
   b. Market value of open contracts granted (sold) on a foreign board of trade

4. **Net equity (deficit) (add lines 1. 2. and 3.)**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   Less: amount offset by customer owned securities

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**

   **Method (add lines 4 and 5)**

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**

   A. Banks located in the United States
   
   B. Other banks qualified under Regulation 30.7

2. **Securities**

   A. In safekeeping with banks located in the United States
   
   B. In safekeeping with other banks qualified under Regulation 30.7

3. **Equities with registered futures commission merchants**

   A. **Cash**
   
   B. **Securities**
   
   C. Unrealized gain (loss) on open futures contracts
   
   D. Value of long option contracts
   
   E. Value of short option contracts

4. **Amounts held by clearing organizations of foreign boards of trade**

   A. **Cash**
   
   B. **Securities**
   
   C. Amount due to (from) clearing organization - daily variation
   
   D. Value of long option contracts
   
   E. Value of short option contracts

5. **Amounts held by members of foreign boards of trade**

   A. **Cash**
   
   B. **Securities**
   
   C. Unrealized gain (loss) on open futures contracts
   
   D. Value of long option contracts
   
   E. Value of short option contracts

6. **Amounts with other depositories designated by a foreign board of trade**

7. **Segregated funds on hand**

8. **Total funds in separate section 30.7 accounts**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**  \[1,415,199,110\] (7010)
   - **B. Securities (at market)**  \[164,242,665\] (7020)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  \[-35,397,263\] (7030)

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  \[2,746,073,709\] (7032)
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  \[-1,750,824,166\] (7033)

4. **Net equity (deficit) (add lines 1, 2 and 3)**  \[2,539,294,055\] (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  \[11,022,099\] (7045)
   - Less: amount offset by customer securities  \[-10,921,757\] (7047)  \[100,342\] (7050)

6. **Amount required to be segregated (add lines 4 and 5)**  \[2,539,394,397\] (7060)

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**  \[510,795,853\] (7070)
   - **B. Securities representing investments of customers’ funds (at market)**  \[0\] (7080)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  \[516,070\] (7090)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  \[147,738,722\] (7100)
   - **B. Securities representing investments of customers’ funds (at market)**  \[724,969,848\] (7110)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  \[159,836,430\] (7120)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  \[21,200,516\] (7130)

10. **Exchange traded options**
    - **A. Value of open long option contracts**  \[2,746,073,709\] (7132)
    - **B. Value of open short option contracts**  \[-1,750,824,166\] (7133)

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  \[0\] (7140)
    - **B. Securities representing investments of customers’ funds (at market)**  \[0\] (7160)
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  \[0\] (7170)

12. **Segregated funds on hand**  \[3,890,165\] (7150)

13. **Total amount in segregation (add lines 7 through 12)**  \[2,564,197,147\] (7180)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  \[24,802,750\] (7190)

15. **Management Target Amount for Excess funds in segregation**  \[19,000,000\] (7194)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**  \[5,802,750\] (7198)
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560] Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]