INITIAL
End Date: 12/17/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/20/2021
WEDBUSH SECURITIES INC

Kevin Wirth

312-784-0475

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

<table>
<thead>
<tr>
<th>1.</th>
<th>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Cash</td>
</tr>
<tr>
<td>B.</td>
<td>Securities (at market)</td>
</tr>
</tbody>
</table>

| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | -483,853 [7325] |

<table>
<thead>
<tr>
<th>3.</th>
<th>Exchange traded options</th>
</tr>
</thead>
<tbody>
<tr>
<td>a.</td>
<td>Market value of open option contracts purchased on a foreign board of trade</td>
</tr>
<tr>
<td>b.</td>
<td>Market value of open contracts granted (sold) on a foreign board of trade</td>
</tr>
</tbody>
</table>

| 4. | Net equity (deficit) (add lines 1. 2. and 3.) | 32,764,068 [7345] |

| 5. | Account liquidating to a deficit and account with a debit balances - gross amount | 25,148 [7351] |

| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 32,789,236 [7355] |

| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 32,789,236 [7360] |

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>1.</th>
<th>Cash in banks</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Banks located in the United States</td>
</tr>
<tr>
<td>B.</td>
<td>Other banks qualified under Regulation 30.7</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2.</th>
<th>Securities</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>In safekeeping with banks located in the United States</td>
</tr>
<tr>
<td>B.</td>
<td>In safekeeping with other banks qualified under Regulation 30.7</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3.</th>
<th>Equities with registered futures commission merchants</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Cash</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
</tr>
<tr>
<td>C.</td>
<td>Unrealized gain (loss) on open futures contracts</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4.</th>
<th>Amounts held by clearing organizations of foreign boards of trade</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Cash</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
</tr>
<tr>
<td>C.</td>
<td>Amount due to (from) clearing organization - daily variation</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5.</th>
<th>Amounts held by members of foreign boards of trade</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Cash</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
</tr>
<tr>
<td>C.</td>
<td>Unrealized gain (loss) on open futures contracts</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
</tr>
</tbody>
</table>

| 6. | Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

| 7. | Segregated funds on hand | 0 [7765] |

| 8. | Total funds in separate section 30.7 accounts | 36,855,028 [7770] |

| 9. | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 4,065,792 [7780] |

| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 3,065,792 [7785] |
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   A. Cash  
   B. Securities (at market)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**

3. **Exchange traded options**
   A. Cash  
   B. Securities (at market)

4. **Net equity (deficit) (add lines 1, 2 and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer securities

6. **Amount required to be segregated (add lines 4 and 5)**

7. **FUNDS IN SEGREGATED ACCOUNTS**
   A. Cash  
   B. Securities representing investments of customers' funds (at market)
   C. Securities held for particular customers or option customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   A. Cash  
   B. Securities representing investments of customers' funds (at market)
   C. Securities held for particular customers or option customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**

10. **Exchange traded options**
    A. Value of open long option contracts
    B. Value of open short option contracts

11. **Net equities with other FCMs**
    A. Net liquidating equity
    B. Securities representing investments of customers' funds (at market)
    C. Securities held for particular customers or option customers in lieu of cash (at market)

12. **Segregated funds on hand**

13. **Total amount in segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in segregation**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    **Excess**
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS 
AND 
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                                      0 [8500]
   B. Securities (at market)                    0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased
      0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)
      0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)
   0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount
   0 [8560]
   Less: amount offset by customer owned securities
   0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                                      0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)
      0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
      0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash                                      0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)
      0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
      0 [8650]

9. Net settlement from (to) derivatives clearing organizations
   0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts
        0 [8670]
    B. Value of open cleared swaps short option contracts
        0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)
        0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)
        0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                                      0
    B. Securities representing investments of cleared swaps customers' funds (at market)
        0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)
        0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    0 [8730]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    0 [8740]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management
    0 [8770]