INITIAL
End Date: 12/28/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/29/2021
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer segregated funds required between: % and %.

   **19,000,000**

   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer secured funds required between: % and %.

   **1,000,000**

   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of cleared swaps customer collateral required between: % and %.

   0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - 29,302,852 [7315]
   - **B. Securities (at market)**
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - 788,758 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade
     - 2,646 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade
     - -28,810 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 30,065,446 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 1,068 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 30,066,514 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 30,066,514 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States
     - 8,642,964 [7500]
   - B. Other banks qualified under Regulation 30.7
     - 6,551,974 [7520] 15,194,938 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States
     - 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     - 6,282,916 [7580]
   - **B. Securities**
     - 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7600]
   - **D. Value of long option contracts**
     - 0 [7610]
   - **E. Value of short option contracts**
     - 0 [7615] 6,282,916 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     - 12,471,672 [7640]
   - **B. Securities**
     - 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     - 0 [7660]
   - **D. Value of long option contracts**
     - 0 [7670]
   - **E. Value of short option contracts**
     - 0 [7675] 12,471,672 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     - 1,006,723 [7700]
   - **B. Securities**
     - 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     - 0 [7720]
   - **D. Value of long option contracts**
     - 2,646 [7730]
   - **E. Value of short option contracts**
     - -28,810 [7735] 980,559 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 34,930,085 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 4,863,571 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 3,863,571 [7785]
### Daily Segregation - Segregation Statement

**SEGRESSION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash
     - 1,226,537,200 [7010]
   - B. Securities (at market)
     - 169,843,209 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -1,826,243 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
     - 2,465,572,041 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - -1,437,048,686 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 2,423,077,521 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 7,594,621 [7045]
   - Less: amount offset by customer securities
     - -7,511,603 [7047] 83,018 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 2,423,160,539 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash
     - 364,394,426 [7070]
   - B. Securities representing investments of customers’ funds (at market)
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 521,681 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash
     - 98,602,769 [7100]
   - B. Securities representing investments of customers’ funds (at market)
     - 824,972,168 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 165,236,430 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -33,712,937 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts
       - 2,465,572,041 [7132]
    - B. Value of open short option contracts
      - -1,437,048,686 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - 0 [7140]
    - B. Securities representing investments of customers’ funds (at market)
      - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - 0 [7170]

12. **Segregated funds on hand**
    - 4,085,098 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 2,452,022,990 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 29,462,451 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 10,462,451 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash  0 [8500]
   B. Securities (at market)  0 [8510]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  0 [8560]
   Less: amount offset by customer owned securities  0 [8570]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash  0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash  0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]

9. Net settlement from (to) derivatives clearing organizations  0 [8660]

10. Cleared swaps options
   A. Value of open cleared swaps long option contracts  0 [8670]
   B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
   A. Net liquidating equity  0 [8690]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

12. Cleared swaps customer funds on hand
   A. Cash  0
   B. Securities representing investments of cleared swaps customers' funds (at market)  0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]