WINJAMMER FILING

INITIAL
End Date: 12/29/2021
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/30/2021
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 28,880,952 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 949,101 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 144 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade -36,420 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 29,793,777 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 229,906 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 30,023,683 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 30,023,683 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States 8,063,305 [7500]
   - B. Other banks qualified under Regulation 30.7 6,593,973 [7520] 14,657,278 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 6,482,860 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,482,860 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 12,598,801 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 12,598,801 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 1,016,995 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 144 [7730]
   - E. Value of short option contracts -36,420 [7735] 980,719 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 34,719,658 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,695,975 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,695,975 [7785]
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### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash:** $1,200,112,235 [7010]
   - **B. Securities (at market):** $169,763,788 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - **-220,462** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market:** $2,478,908,607 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market:** $1,441,531,192 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   - $2,407,032,976 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities  
     - **-7,810,710** [7047]  
     - **95,830** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   - **2,407,128,806** [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash:** $392,294,135 [7070]
   - **B. Securities representing investments of customers' funds (at market):** $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $523,986 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash:** $90,461,318 [7100]
   - **B. Securities representing investments of customers' funds (at market):** $749,970,308 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $165,236,430 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   - **-2,725,342** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts:** $2,478,908,607 [7132]
    - **B. Value of open short option contracts:** $1,441,531,192 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity:** $0 [7140]
    - **B. Securities representing investments of customers' funds (at market):** $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $0 [7170]

12. **Segregated funds on hand**  
    - **4,003,372** [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    - **2,437,141,622** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    - **30,012,816** [7190]

15. **Management Target Amount for Excess funds in segregation**  
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess:** **11,012,816** [7198]
### Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]