FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
     19,000,000
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.
     1,000,000
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents CH
**INITIAL**

End Date: 1/6/2022  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 1/7/2022

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: $29,840,594 [7315]
   - **B. Securities (at market)**: $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $682,721 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: $0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: $-12,561 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3.)**: $30,510,754 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: $0 [7352] $3,898 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - **$30,514,652 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - **$30,514,652 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**: $9,627,262 [7500]
   - **B. Other banks qualified under Regulation 30.7**: $5,357,541 [7520] **14,984,803 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: $0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: $0 [7560] $0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: $6,999,333 [7580]
   - **B. Securities**: $0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7600]
   - **D. Value of long option contracts**: $0 [7610]
   - **E. Value of short option contracts**: $0 [7615] **6,999,333 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: $11,749,426 [7640]
   - **B. Securities**: $0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: $0 [7660]
   - **D. Value of long option contracts**: $0 [7670]
   - **E. Value of short option contracts**: $0 [7675] **11,749,426 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: $970,618 [7700]
   - **B. Securities**: $0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7720]
   - **D. Value of long option contracts**: $0 [7730]
   - **E. Value of short option contracts**: $-12,561 [7735] **958,057 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: $0 [7760]

7. **Segregated funds on hand**: $0 [7765]

8. **Total funds in separate section 30.7 accounts**: $34,691,619 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **$4,176,967 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $3,176,967 [7785]
INITIAL
End Date: 1/6/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/7/2022

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,284,533,345 [7010]
   B. Securities (at market) 174,713,019 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 118,100,587 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,547,223,929 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,502,501,224 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,622,069,656 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 10,777,875 [7045]
   Less: amount offset by customer securities -10,691,412 [7047] 86,463 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,622,156,119 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 469,106,537 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 521,941 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 267,551,815 [7100]
   B. Securities representing investments of customers' funds (at market) 674,942,204 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 169,707,082 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 18,856,000 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,547,223,929 [7132]
    B. Value of open short option contracts -1,502,501,224 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,483,996 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,649,892,280 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,736,161 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,736,161 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]