INITIAL
End Date: 1/7/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/10/2022
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

19,000,000 0

0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

1,000,000 0

0 0

0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

0 0

0 0

0 0

Attach supporting documents CH
End Date: 1/7/2022  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   **A. Cash**: 29,448,414 [7315]  
   **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 913,267 [7325]

3. **Exchange traded options**
   
   a. **Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]  
   b. **Market value of open contracts granted (sold) on a foreign board of trade**: -16,631 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 30,345,050 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,424 [7351]  
   Less: amount offset by customer owned securities: 0 [7352]  
   **Method (add lines 4 and 5)**: 30,352,474 [7355]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 30,352,474 [7360]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 30,352,474 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   
   **A. Banks located in the United States**: 10,214,998 [7500]  
   **B. Other banks qualified under Regulation 30.7**: 4,687,514 [7520]  
   **Total**: 14,902,512 [7540]

2. **Securities**
   
   **A. In safekeeping with banks located in the United States**: 0 [7540]  
   **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560]  
   **Total**: 0 [7570]

3. **Equities with registered futures commission merchants**
   
   **A. Cash**: 7,049,223 [7580]  
   **B. Securities**: 0 [7590]  
   **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]  
   **D. Value of long option contracts**: 0 [7610]  
   **E. Value of short option contracts**: 0 [7615]  
   **Total**: 7,049,223 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   **A. Cash**: 11,755,487 [7640]  
   **B. Securities**: 0 [7650]  
   **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]  
   **D. Value of long option contracts**: 0 [7670]  
   **E. Value of short option contracts**: 0 [7675]  
   **Total**: 11,755,487 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   **A. Cash**: 936,419 [7700]  
   **B. Securities**: 0 [7710]  
   **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]  
   **D. Value of long option contracts**: 0 [7730]  
   **E. Value of short option contracts**: -16,631 [7735]  
   **Total**: 919,788 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 34,627,010 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,274,536 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,274,536 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,345,390,794 [7010]
   B. Securities (at market) 174,662,806 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 120,502,191 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,511,753,994 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,522,194,393 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 2,630,115,392 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 10,585,333 [7045]
   Less: amount offset by customer securities -10,497,856 [7047] 87,477 [7050]

6. Amount required to be segregated (add lines 4 and 5) 2,630,202,869 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 477,562,474 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 520,318 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 346,536,426 [7100]
   B. Securities representing investments of customers’ funds (at market) 674,934,742 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 169,796,858 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -4,463,737 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,511,753,994 [7132]
    B. Value of open short option contracts -1,522,194,393 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,345,630 [7150]

13. Total amount in segregation (add lines 7 through 12) 2,658,792,312 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,589,443 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 9,589,443 [7198]
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<thead>
<tr>
<th>Requirement</th>
<th>Value</th>
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<tbody>
<tr>
<td>Net ledger balance</td>
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<tr>
<td>B. Securities (at market)</td>
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<td>Net unrealized profit (loss) in open cleared swaps</td>
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<td>Less: amount offset by customer owned securities</td>
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