INITIAL
End Date: 1/10/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/11/2022
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash 28,680,727 [7315]
   - B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,132,935 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade -16,284 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 29,797,378 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 308,694 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 30,106,072 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 30,106,072 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 9,593,443 [7500]
   - B. Other banks qualified under Regulation 30.7 4,736,577 [7520] 14,330,020 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 6,912,244 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,912,244 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 11,961,932 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 11,961,932 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 936,014 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts -16,284 [7735] 919,730 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 34,123,926 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 4,017,854 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 3,017,854 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: 1,402,253,871
   - **Securities (at market)**: 175,573,031

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 120,217,223

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 2,449,114,494
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -1,516,115,590

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 2,631,043,029

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: -8,721,925

6. **Amount required to be segregated (add lines 4 and 5)**: 2,631,439,938

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 463,234,228
   - **Securities representing investments of customers' funds (at market)**: 0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 520,318

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 411,047,076
   - **Securities representing investments of customers' funds (at market)**: 674,935,851
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 170,707,082

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -3,128

10. **Exchange traded options**
    - **Value of open long option contracts**: 2,449,114,494
    - **Value of open short option contracts**: -1,516,115,590

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0
    - **Securities representing investments of customers' funds (at market)**: 0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**: 4,345,631

13. **Total amount in segregation (add lines 7 through 12)**: 2,657,785,962

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 26,346,024

15. **Management Target Amount for Excess funds in segregation**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 7,346,024
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash**: $\text{[8500]}$
   - **B. Securities (at market)**: $\text{[8510]}$

2. **Net unrealized profit (loss) in open cleared swaps**: $\text{[8520]}$

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: $\text{[8530]}$
   - **B. Market value of open cleared swaps option contracts granted (sold)**: $\text{[8540]}$

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: $\text{[8550]}$

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: $\text{[8560]}$

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: $\text{[8570]}$

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: $\text{[8600]}$
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: $\text{[8610]}$
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: $\text{[8620]}$

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: $\text{[8630]}$
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: $\text{[8640]}$
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: $\text{[8650]}$

9. **Net settlement from (to) derivatives clearing organizations**: $\text{[8660]}$

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: $\text{[8670]}$
    - **B. Value of open cleared swaps short option contracts**: $\text{[8680]}$

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $\text{[8690]}$
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: $\text{[8700]}$
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: $\text{[8710]}$

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: $\text{[8715]}$
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: $\text{[8720]}$

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: $\text{[8720]}$

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: $\text{[8730]}$

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: $\text{[8760]}$

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: $\text{[8770]}$