Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \(0\) [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \(29,783,440\) [7315]
   - B. Securities (at market): \(0\) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \(1,264,027\) [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \(0\) [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \(-39,627\) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \(31,007,840\) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \(8,685\) [7351]
   - Less: amount offset by customer owned securities: \(0\) [7352] \(8,685\) [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: \(31,016,525\) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: \(31,016,525\) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \(11,034,247\) [7500]
   - B. Other banks qualified under Regulation 30.7: \(4,776,647\) [7520] \(15,810,894\) [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \(0\) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \(0\) [7560] \(0\) [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \(6,872,977\) [7580]
   - B. Securities: \(0\) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7600]
   - D. Value of long option contracts: \(0\) [7610]
   - E. Value of short option contracts: \(0\) [7615] \(6,872,977\) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \(11,858,353\) [7640]
   - B. Securities: \(0\) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \(0\) [7660]
   - D. Value of long option contracts: \(0\) [7670]
   - E. Value of short option contracts: \(0\) [7675] \(11,858,353\) [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \(941,652\) [7700]
   - B. Securities: \(0\) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7720]
   - D. Value of long option contracts: \(0\) [7730]
   - E. Value of short option contracts: \(-39,627\) [7735] \(902,025\) [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: \(0\) [7760]

7. **Segregated funds on hand**: \(0\) [7765]

8. **Total funds in separate section 30.7 accounts**: \(35,444,249\) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: \(4,427,724\) [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: \(1,000,000\) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: \(3,427,724\) [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Cash</td>
<td>1,337,703,466</td>
<td>[7010]</td>
</tr>
<tr>
<td>B</td>
<td>Securities (at market)</td>
<td>175,573,031</td>
<td>[7020]</td>
</tr>
<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>58,359,358</td>
<td>[7030]</td>
</tr>
<tr>
<td>3</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Add market value of open option contracts purchased on a contract market</td>
<td>2,585,724,217</td>
<td>[7032]</td>
</tr>
<tr>
<td>B</td>
<td>Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-1,637,973,949</td>
<td>[7033]</td>
</tr>
<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>7,291,289</td>
<td>[7045]</td>
</tr>
<tr>
<td></td>
<td>Less: amount offset by customer securities</td>
<td>-6,870,149</td>
<td>421,140</td>
</tr>
<tr>
<td>6</td>
<td>Amount required to be segregated (add lines 4 and 5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>FUNDS IN SEGREGATED ACCOUNTS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Deposited in segregated funds bank accounts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
<td>[7080]</td>
</tr>
<tr>
<td>C</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>520,318</td>
<td>[7090]</td>
</tr>
<tr>
<td>8</td>
<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Cash</td>
<td>256,008,729</td>
<td>[7100]</td>
</tr>
<tr>
<td>B</td>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>699,944,316</td>
<td>[7110]</td>
</tr>
<tr>
<td>C</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>170,707,082</td>
<td>[7120]</td>
</tr>
<tr>
<td>9</td>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>-10,267,253</td>
<td>[7130]</td>
</tr>
<tr>
<td>10</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Value of open long option contracts</td>
<td>2,585,724,217</td>
<td>[7132]</td>
</tr>
<tr>
<td>B</td>
<td>Value of open short option contracts</td>
<td>-1,637,973,949</td>
<td>[7133]</td>
</tr>
<tr>
<td>11</td>
<td>Net equities with other FCMs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>Net liquidating equity</td>
<td>0</td>
<td>[7140]</td>
</tr>
<tr>
<td>B</td>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
<td>[7160]</td>
</tr>
<tr>
<td>C</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
<td>[7170]</td>
</tr>
<tr>
<td>12</td>
<td>Segregated funds on hand</td>
<td>4,345,631</td>
<td>[7150]</td>
</tr>
<tr>
<td>13</td>
<td>Total amount in segregation (add lines 7 through 12)</td>
<td>2,544,056,120</td>
<td>[7180]</td>
</tr>
<tr>
<td>14</td>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>24,248,857</td>
<td>[7190]</td>
</tr>
<tr>
<td>15</td>
<td>Management Target Amount for Excess funds in segregation</td>
<td>19,000,000</td>
<td>[7194]</td>
</tr>
<tr>
<td>16</td>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>5,248,857</td>
<td>[7198]</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]