INITIAL
End Date: 1/12/2022
Firm Name: WEEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/13/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

**FCM's Customer Segregated Funds Residual Interest Target (choose one):**
- Minimum dollar amount: $19,000,000.
- Minimum percentage of customer segregated funds required: 0%.
- Dollar amount range between: 0 and 0.
- Percentage range of customer segregated funds required between: 0% and 0%.

**FCM's Customer Secured Amount Funds Residual Interest Target (choose one):**
- Minimum dollar amount: $1,000,000.
- Minimum percentage of customer secured funds required: 0%.
- Dollar amount range between: 0 and 0.
- Percentage range of customer secured funds required between: 0% and 0%.

**FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):**
- Minimum dollar amount: 0.
- Minimum percentage of cleared swaps customer collateral required: 0%.
- Dollar amount range between: 0 and 0.
- Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents CH
**Initial**

**End Date:** 1/12/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   30,102,775 [7315]  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   1,408,099 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   -48,270 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   31,462,604 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   12,861 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  
   31,475,465 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   31,475,465 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States  
   11,212,998 [7500]  
   B. Other banks qualified under Regulation 30.7  
   4,817,128 [7520]  
   **Total:** 16,030,126 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560]  
   **Total:** 0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   7,071,906 [7580]  
   B. Securities  
   0 [7590]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]  
   D. Value of long option contracts  
   0 [7610]  
   E. Value of short option contracts  
   0 [7615]  
   **Total:** 7,071,906 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   12,021,303 [7640]  
   B. Securities  
   0 [7650]  
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]  
   D. Value of long option contracts  
   0 [7670]  
   E. Value of short option contracts  
   0 [7675]  
   **Total:** 12,021,303 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   942,651 [7700]  
   B. Securities  
   0 [7710]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]  
   D. Value of long option contracts  
   0 [7730]  
   E. Value of short option contracts  
   -48,270 [7735]  
   **Total:** 894,381 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]

7. Segregated funds on hand  
   0 [7765]

8. Total funds in separate section 30.7 accounts  
   36,017,716 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   4,542,251 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    3,542,251 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: 1,299,517,025
   - **Securities (at market)**: 181,978,516

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Loss**: -34,832,908

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 2,639,939,731
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -1,774,034,601

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Equity**: 2,312,567,763

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Amount**: 6,266,157
   - **Less: amount offset by customer securities**: -6,188,436

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Segregation Fund**: 2,312,645,484

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**: 423,465,827
   - **B. Securities representing investments of customers' funds (at market)**: 0
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 520,269

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 246,589,946
   - **B. Securities representing investments of customers' funds (at market)**: 699,941,953
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 176,993,595

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Settlement**: -79,707,084

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 2,639,939,731
    - **B. Value of open short option contracts**: -1,774,034,601

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0
    - **B. Securities representing investments of customers' funds (at market)**: 0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**
    - **Funds**: 4,464,652

13. **Total amount in segregation (add lines 7 through 12)**
    - **Total**: 2,338,174,288

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Excess**: 25,528,804

15. **Management Target Amount for Excess funds in segregation**
    - **Amount**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 6,528,804
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]