INITIAL
End Date: 1/14/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/18/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**INITIAL**

**End Date:** 1/14/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  

<table>
<thead>
<tr>
<th>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>30,346,208 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
</tbody>
</table>

| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | 823,345 [7325] |

<table>
<thead>
<tr>
<th>3. Exchange traded options</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>-52,886 [7337]</td>
</tr>
</tbody>
</table>

| 4. Net equity (deficit) (add lines 1. 2. and 3.) | 31,116,667 [7345] |

<table>
<thead>
<tr>
<th>5. Account liquidating to a deficit and account with a debit balances - gross amount</th>
<th>5,341 [7351]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 5,341 [7354]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6. Amount required to be set aside as the secured amount - Net Liquidating Equity</th>
<th>31,122,008 [7355]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Method (add lines 4 and 5)</td>
<td>31,122,008 [7360]</td>
</tr>
</tbody>
</table>

| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 31,122,008 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>1. Cash in banks</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Banks located in the United States</td>
<td>10,892,887 [7500]</td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>4,470,552 [7520] 15,363,439 [7530]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2. Securities</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 [7540]</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 [7560] 0 [7570]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3. Equities with registered futures commission merchants</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>6,734,799 [7580]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7590]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7600]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7610]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7615] 6,734,799 [7620]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4. Amounts held by clearing organizations of foreign boards of trade</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>12,866,418 [7640]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7650]</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 [7660]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7670]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7675] 12,866,418 [7680]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. Amounts held by members of foreign boards of trade</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>944,529 [7700]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7710]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7720]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7730]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>-52,886 [7735] 891,643 [7735]</td>
</tr>
</tbody>
</table>

| 6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

| 7. Segregated funds on hand | 0 [7765] |

| 8. Total funds in separate section 30.7 accounts | 35,856,299 [7770] |

| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 4,734,291 [7780] |

| 10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 3,734,291 [7785] |
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - $1,323,951,574 [7010]
   - **B. Securities (at market)**
     - $185,444,137 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $-27,610,237 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - $2,599,326,870 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - $-1,620,838,625 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $2,460,273,719 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $6,525,530 [7045]
   - Less: amount offset by customer securities
     - $-6,135,400 [7047] 390,130 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - $2,460,663,849 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
     - $373,044,199 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $525,924 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - $190,653,000 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - $749,917,179 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $180,481,445 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - $9,378,733 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - $2,599,326,870 [7132]
    - **B. Value of open short option contracts**
      - $-1,620,838,625 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - $0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - $0 [7170]

12. **Segregated funds on hand**
    - $4,436,768 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - $2,486,925,493 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $26,261,644 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - $7,261,644 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 0 [8560]
   - Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8590]

7. **Funds in Cleared Swaps Customer Segregated Accounts**
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]