INITIAL
End Date: 1/20/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/21/2022
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

19,000,000
0
0
0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

1,000,000
0
0
0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

0
0
0
0

Attach supporting documents CH
Initial
End Date: 1/20/2022
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 28,738,830 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,345,666 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 8,397 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -137,214 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 29,955,679 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,255 [7351]
Less: amount offset by customer owned securities 0 [7352] 3,255 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 29,958,934 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 29,958,934 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 9,756,651 [7500]
   B. Other banks qualified under Regulation 30.7 4,989,491 [7520] 14,746,142 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 7,109,251 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 7,109,251 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 12,375,719 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 12,375,719 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 948,814 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 8,397 [7730]
   E. Value of short option contracts -137,214 [7735] 819,997 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 35,051,109 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,092,175 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,092,175 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - 1,579,005,459 [7010]
   - **B. Securities (at market)**
     - 189,604,003 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -20,369,381 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 2,785,430,367 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -1,772,992,398 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 2,760,678,050 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 6,102,691 [7045]
   - Less: amount offset by customer securities
     - -6,011,484
     - 91,207 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 2,760,769,257 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
     - 482,698,684 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 521,708 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 394,878,293 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 699,920,133 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 184,624,910 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 7,808,097 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 2,785,430,367 [7132]
    - **B. Value of open short option contracts**
      - -1,772,992,398 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 4,457,385 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 2,787,347,179 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 26,577,922 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 7,577,922 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash \[0\] [8500]
   B. Securities (at market) \[0\] [8510]

2. Net unrealized profit (loss) in open cleared swaps \[0\] [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased \[0\] [8530]
   B. Market value of open cleared swaps option contracts granted (sold) \[0\] [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) \[0\] [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount \[0\] [8560]
   Less: amount offset by customer owned securities \[0\] [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) \[0\] [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash \[0\] [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) \[0\] [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0\] [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash \[0\] [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) \[0\] [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0\] [8650]

9. Net settlement from (to) derivatives clearing organizations \[0\] [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts \[0\] [8670]
    B. Value of open cleared swaps short option contracts \[0\] [8680]

11. Net equities with other FCMs
    A. Net liquidating equity \[0\] [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) \[0\] [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0\] [8710]

12. Cleared swaps customer funds on hand
    A. Cash \[0\]
    B. Securities representing investments of cleared swaps customers' funds (at market) \[0\] [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0\] [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) \[0\] [8730]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) \[0\] [8740]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts \[0\] [8750]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management \[0\] [8760]