INITIAL
End Date: 1/21/2022
Firm Name: WEGBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/24/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or  19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of customer segregated funds required between:% and%.  0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or  1,000,000
   b. Minimum percentage of customer secured funds required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of customer secured funds required between:% and%.  0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or  0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%.  0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 28,164,715 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,525,992 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 13,635 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -137,341 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 29,567,001 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,310 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 6,310 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 29,573,311 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 29,573,311 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 9,553,591 [7500]
   - B. Other banks qualified under Regulation 30.7: 5,786,287 [7520] 15,339,878 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,968,027 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,968,027 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 11,694,430 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 11,694,430 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 948,131 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 13,635 [7730]
   - E. Value of short option contracts: -137,341 [7735] 824,425 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 34,826,760 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,253,449 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,253,449 [7785]
**INITIAL**

**End Date:** 1/21/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash  2,194,999,443 [7010]  
   - B. Securities (at market)  188,340,750 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  -179,234,678 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market  2,172,876,971 [7032]  
   - B. Deduct market value of open option contracts granted (sold) on a contract market  -1,743,871,535 [7033]  

4. Net equity (deficit) (add lines 1, 2 and 3)  2,633,110,951 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  10,155,424 [7045]  
   - Less: amount offset by customer securities  -10,064,643 [7047] 90,781 [7050]  

6. Amount required to be segregated (add lines 4 and 5)  2,633,201,732 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash  458,692,525 [7070]  
   - B. Securities representing investments of customers' funds (at market)  0 [7080]  
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  535,424 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash  834,556,806 [7100]  
   - B. Securities representing investments of customers' funds (at market)  749,890,057 [7110]  
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  183,624,910 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  1,201,727 [7130]

10. Exchange traded options
    - A. Value of open long option contracts  2,172,876,971 [7132]  
    - B. Value of open short option contracts  -1,743,871,535 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity  0 [7140]  
    - B. Securities representing investments of customers' funds (at market)  0 [7160]  
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  0 [7170]

12. Segregated funds on hand  4,180,416 [7150]

13. Total amount in segregation (add lines 7 through 12)  2,661,687,301 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  28,485,569 [7190]

15. Management Target Amount for Excess funds in segregation  19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount  Excess  9,485,569 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash $0 [8500]
   B. Securities (at market) $0 [8510]

2. Net unrealized profit (loss) in open cleared swaps $0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased $0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) $0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) $0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities $0 [8560] $0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) $0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash $0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) $0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) $0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash $0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) $0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) $0 [8650]

9. Net settlement from (to) derivatives clearing organizations $0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts $0 [8670]
    B. Value of open cleared swaps short option contracts $0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity $0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) $0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) $0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash $0
    B. Securities representing investments of cleared swaps customers' funds (at market) $0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) $0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) $0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) $0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts $0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management $0 [8770]