INITIAL
End Date: 1/24/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/25/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   a. **Cash** 28,518,868 [7315]
   b. **Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,000,196 [7325]

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade 12,981 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -72,368 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 29,459,677 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 3,887 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,887 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 29,463,564 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 29,463,564 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   a. Banks located in the United States 9,576,154 [7500]
   b. Other banks qualified under Regulation 30.7 5,751,600 [7520] 15,327,754 [7530]

2. **Securities**
   a. In safekeeping with banks located in the United States 0 [7540]
   b. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   a. **Cash** 6,801,867 [7580]
   b. **Securities** 0 [7590]
   c. Unrealized gain (loss) on open futures contracts 0 [7600]
   d. Value of long option contracts 0 [7610]
   e. Value of short option contracts 0 [7615] 6,801,867 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   a. **Cash** 11,759,412 [7640]
   b. **Securities** 0 [7650]
   c. Amount due to (from) clearing organization - daily variation 0 [7660]
   d. Value of long option contracts 0 [7670]
   e. Value of short option contracts 0 [7675] 11,759,412 [7680]

5. **Amounts held by members of foreign boards of trade**
   a. **Cash** 920,873 [7700]
   b. **Securities** 0 [7710]
   c. Unrealized gain (loss) on open futures contracts 0 [7720]
   d. Value of long option contracts 12,981 [7730]
   e. Value of short option contracts -72,368 [7735] 861,486 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 34,750,519 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 5,286,955 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 4,286,955 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $1,469,503,296
   - **Securities (at market)**: $187,627,700

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - Value: $-72,583,308

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $2,823,552,504
   - **Deduct market value of open option contracts granted (sold) on a contract market**: $1,809,014,295
   - **Net equity (deficit) (add lines 1, 2 and 3)**: $2,599,085,897

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: $-11,061,851
   - **Net settlement from (to) derivatives clearing organizations of contract markets**: $11,831,758

5. **Deposit in segregated funds bank accounts**
   - **Cash**: $471,816,075
   - **Securities representing investments of customers’ funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $523,090

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $193,111,876
   - **Securities representing investments of customers’ funds (at market)**: $749,896,278
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $182,924,910

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - Value: $11,831,758

10. **Exchange traded options**
    - **Value of open long option contracts**: $2,823,552,504
    - **Value of open short option contracts**: $-1,809,014,295

11. **Net equities with other FCMs**
    - **Net liquidating equity**: $0
    - **Securities representing investments of customers’ funds (at market)**: $0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0

12. **Segregated funds on hand**
    - Value: $4,179,700

13. **Total amount in segregation (add lines 7 through 12)**
    - Value: $2,628,821,896

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - Value: $29,638,169

15. **Management Target Amount for Excess funds in segregation**
    - Value: $19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $10,638,169
### Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]