INITIAL
End Date: 1/25/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/26/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: and 0
   0
   0
d. Percentage range of customer segregated funds required between: 0% and 0%
   0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: and 0
   0
   0
d. Percentage range of customer secured funds required between: 0% and 0%
   0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: 0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: and 0
   0
   0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%
   0
   0

Attach supporting documents CH
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 29,267,659 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 912,469 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 7,674 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -76,360 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3) 30,111,442 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,950 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,950 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 30,115,392 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 30,115,392 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 9,751,935 [7500]
   B. Other banks qualified under Regulation 30.7 5,748,885 [7520] 15,500,820 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 6,683,748 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,683,748 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 11,911,508 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 11,911,508 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 915,570 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 7,674 [7730]
   E. Value of short option contracts -76,360 [7735] 846,884 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 34,942,960 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,827,568 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,827,568 [7785]
## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 1,458,799,402 [7010]
   - **Securities (at market)**: 199,382,375 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Cash**: 63,469,551 [7030]
   - **Securities (at market)**: -63,469,551 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 2,998,548,335 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -1,965,797,019 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Cash**: 2,627,463,542 [7040]
   - **Securities (at market)**: 2,627,463,542 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Cash**: 8,910,813 [7045]
   - **Securities (at market)**: -8,819,949 [7047]
   - **Other**: 90,864 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Cash**: 2,627,554,406 [7060]
   - **Securities (at market)**: 2,627,554,406 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 456,844,063 [7070]
   - **Securities (at market)**: 0 [7080]
   - **Other**: 519,249 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 190,152,968 [7100]
   - **Securities (at market)**: 749,898,981 [7110]
   - **Other**: 194,759,801 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Cash**: 28,372,670 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 2,998,548,335 [7132]
    - **Value of open short option contracts**: -1,965,797,019 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]
    - **Securities (at market)**: 0 [7160]
    - **Other**: 0 [7170]

12. **Segregated funds on hand**
    - **Cash**: 4,103,325 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **Cash**: 2,657,402,373 [7180]
    - **Securities (at market)**: 2,657,402,373 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Cash**: 28,847,967 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **Cash**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 10,847,967 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash** 0 [8500]
   - **B. Securities (at market)** 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased** 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)** 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities** 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash** 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash** 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts** 0 [8670]
    - **B. Value of open cleared swaps short option contracts** 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash** 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]