FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
**INITIAL**

End Date: 1/27/2022  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 1/28/2022

### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   a. Cash: 29,369,118 [7315]  
   b. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 812,398 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: 5,604 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: -80,414 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3): 30,106,706 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 6,828 [7351]  
   Less: amount offset by customer owned securities: 0 [7352]  
   6,828 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 30,113,534 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 30,113,534 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   a. Banks located in the United States: 9,620,862 [7500]  
   b. Other banks qualified under Regulation 30.7: 5,735,884 [7520]  
      15,356,746 [7530]

2. Securities  
   a. In safekeeping with banks located in the United States: 0 [7540]  
   b. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]  
      0 [7570]

3. Equities with registered futures commission merchants  
   a. Cash: 7,323,132 [7580]  
   b. Securities: 0 [7590]  
   c. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   d. Value of long option contracts: 0 [7610]  
   e. Value of short option contracts: 0 [7615]  
      7,323,132 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   a. Cash: 11,323,110 [7640]  
   b. Securities: 0 [7650]  
   c. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   d. Value of long option contracts: 0 [7670]  
   e. Value of short option contracts: 0 [7675]  
      11,323,110 [7680]

5. Amounts held by members of foreign boards of trade  
   a. Cash: 919,426 [7700]  
   b. Securities: 0 [7710]  
   c. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   d. Value of long option contracts: 5,604 [7730]  
   e. Value of short option contracts: -80,414 [7735]  
      844,616 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]  
7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 34,847,604 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 4,734,070 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 3,734,070 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash  
     - 1,270,842,954 [7010]
   - B. Securities (at market)  
     - 193,865,761 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - 101,966,233 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  
     - 3,153,869,483 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  
     - -2,137,969,565 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   - 2,582,574,866 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   - 9,683,683 [7045]
   - Less: amount offset by customer securities  
     - -9,581,875 [7047]  
     - 101,808 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   - 2,582,676,674 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash  
     - 411,837,420 [7070]
   - B. Securities representing investments of customers’ funds (at market)  
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     - 512,703 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  
     - 288,152,255 [7100]
   - B. Securities representing investments of customers’ funds (at market)  
     - 699,907,055 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     - 189,361,301 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   - 2,037,111 [7130]

10. **Exchange traded options**
   - A. Value of open long option contracts  
      - 3,153,869,483 [7132]
   - B. Value of open short option contracts  
      - -2,137,969,565 [7133]

11. **Net equities with other FCMs**
   - A. Net liquidating equity  
      - 0 [7140]
   - B. Securities representing investments of customers’ funds (at market)  
      - 0 [7160]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
      - 0 [7170]

12. **Segregated funds on hand**  
    - 3,991,757 [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    - 2,611,699,520 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    - 29,022,846 [7190]

15. **Management Target Amount for Excess funds in segregation**  
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess  
      - 10,022,846 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]